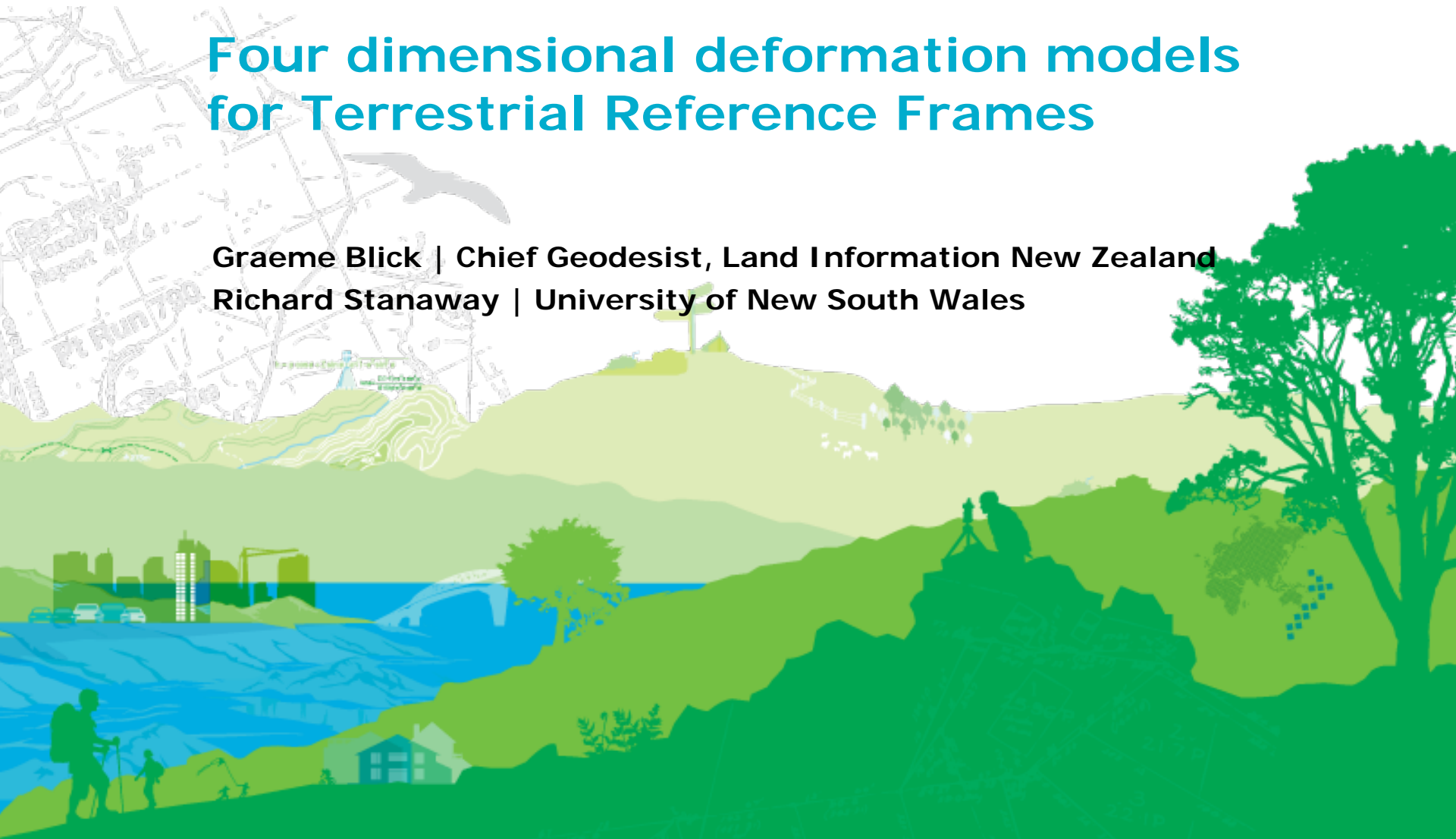


Four dimensional deformation models for Terrestrial Reference Frames

Graeme Blick | Chief Geodesist, Land Information New Zealand
Richard Stanaway | University of New South Wales

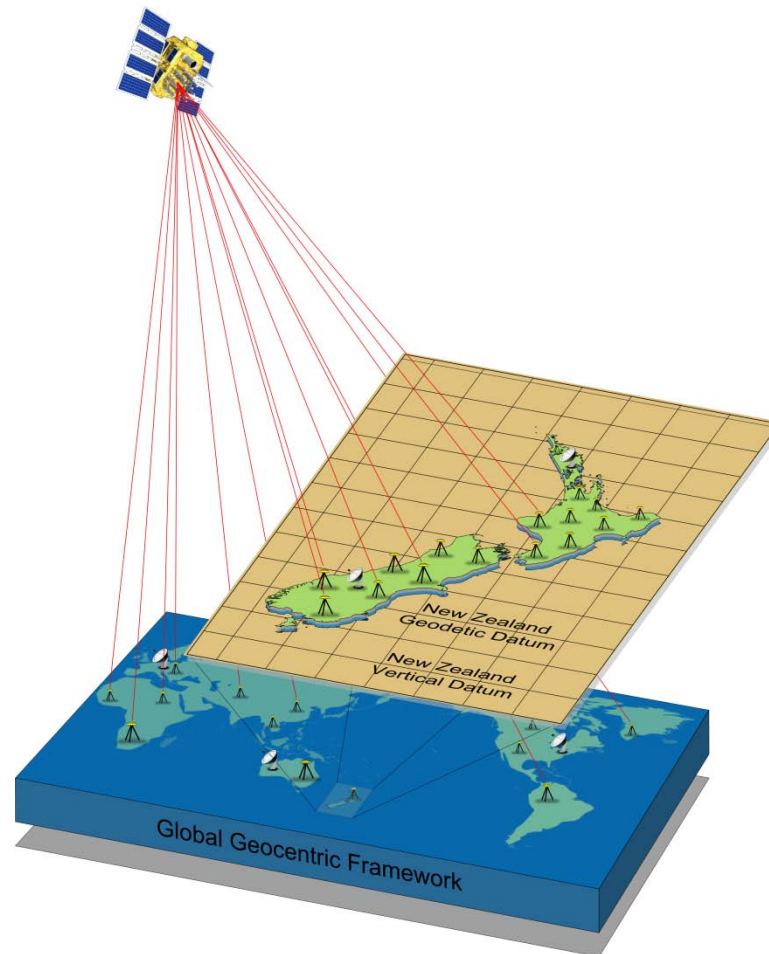


- **Introduction (10min GB)**
- **Concepts of 4d datums (10 min GB)**
- **The pros and cons of static, semi-dynamic datum and dynamic datums (10 min GB)**
- **Development of Deformation Models (15min RS)**
- **Incorporating the effects of events such as earthquakes into the model. (15min RS)**
- **Case studies,**
 - **Australia (10 min RS)**
 - **New Zealand, (10 min GB)**
- **Questions 10 min**

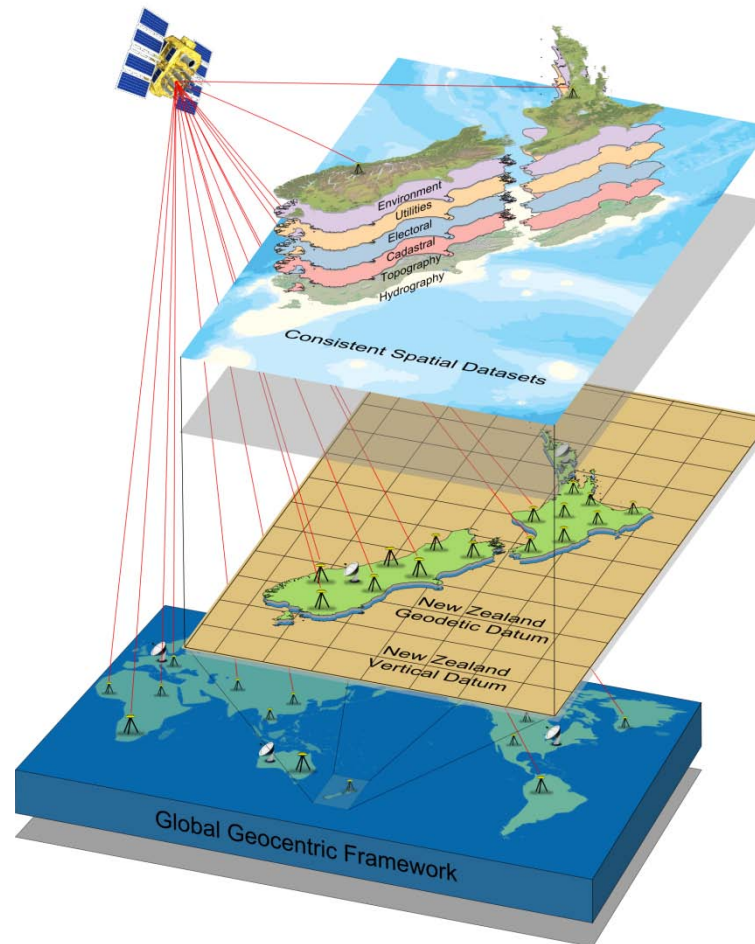
Introduction



Fundamental Role of Reference Frame



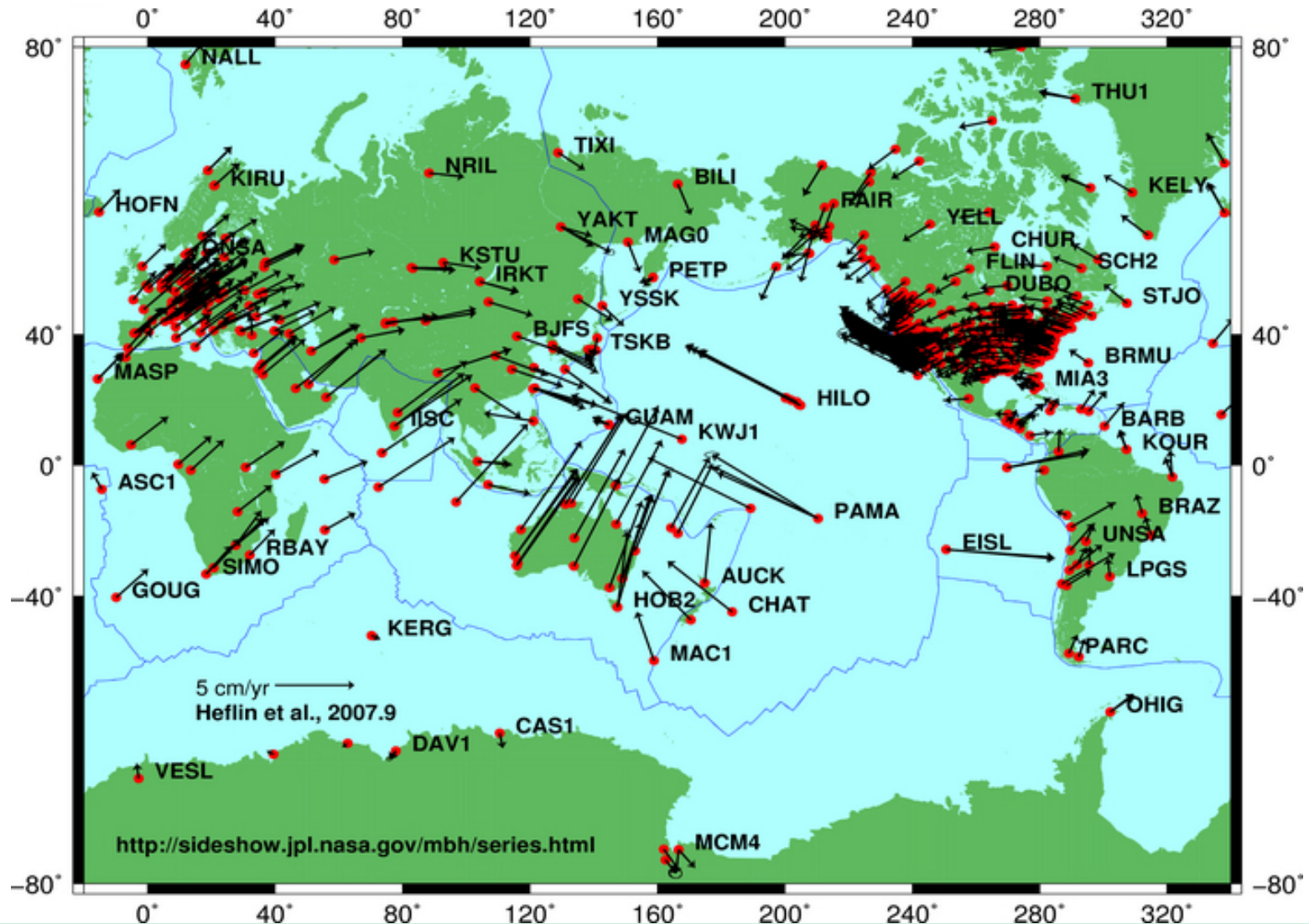
Fundamental Role of Reference Frame

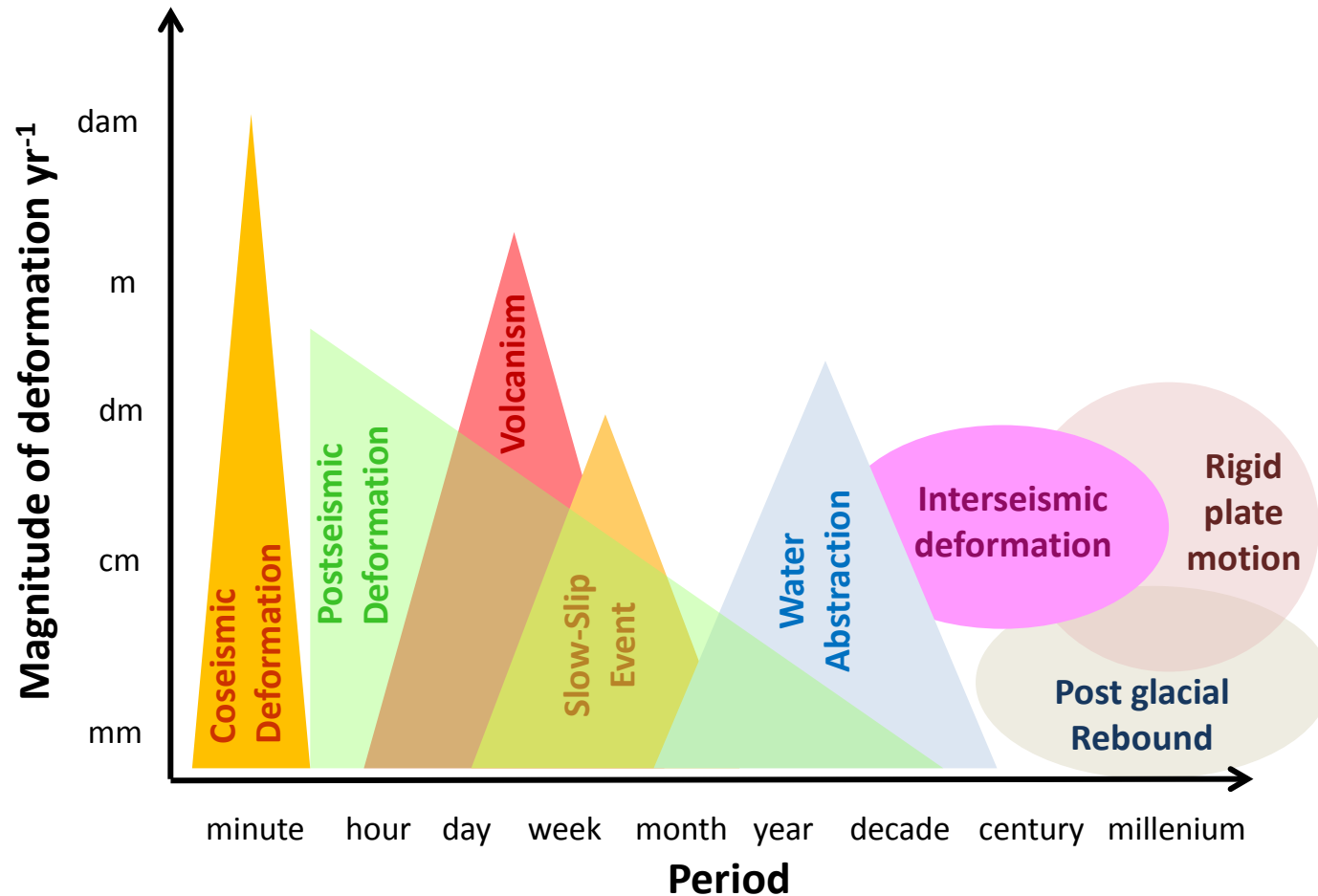


Requirements of a National Reference System

- A coordinate framework that is **accurate, stable, reliable and accessible**
- Direct linkage to International Reference Frames
- **Simple** for users to connect to and use
- Physical infrastructure may include GNSS CORS and traditional geodetic survey marks
- Systems and tools to allow connection to the coordinate reference system and **transformation** of legacy data to the current reference system







Concepts of a 4D Datums

Static Datum (2D and 3D)

- Coordinates are fixed at a reference epoch

- Does not incorporate the effects of plate tectonics and deformation events

- Coordinates slowly go out of date, need to change periodically which is disruptive

Dynamic datum (4D)

- Incorporates a deformation model to manage changes (plate tectonics and deformation events)

- Coordinates change continuously

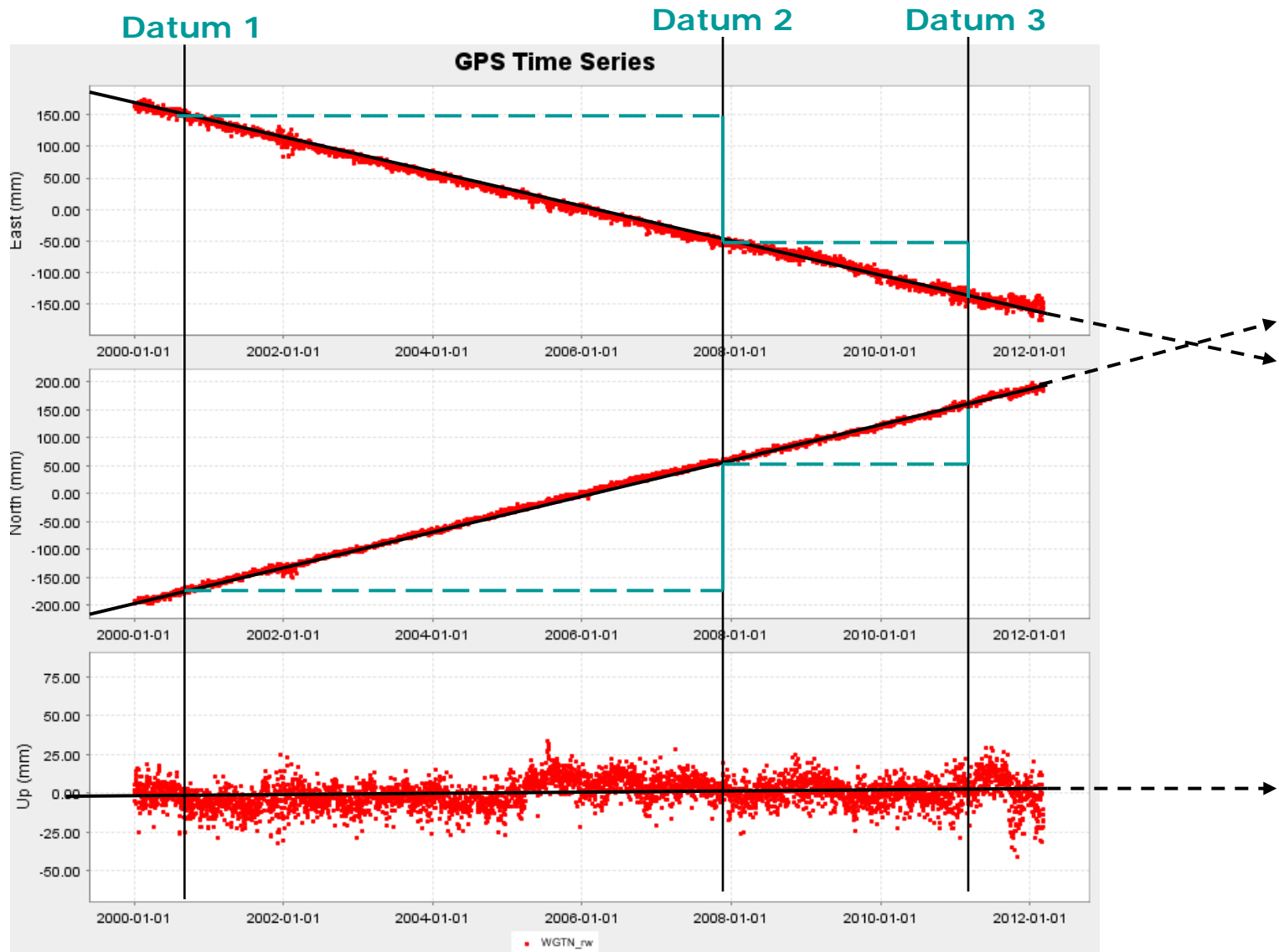
- Can be confusing and difficult to manage

Semi - dynamic datum

- Incorporates a deformation model to manage changes (plate tectonics and deformation events)

- Coordinates fixed at a reference epoch

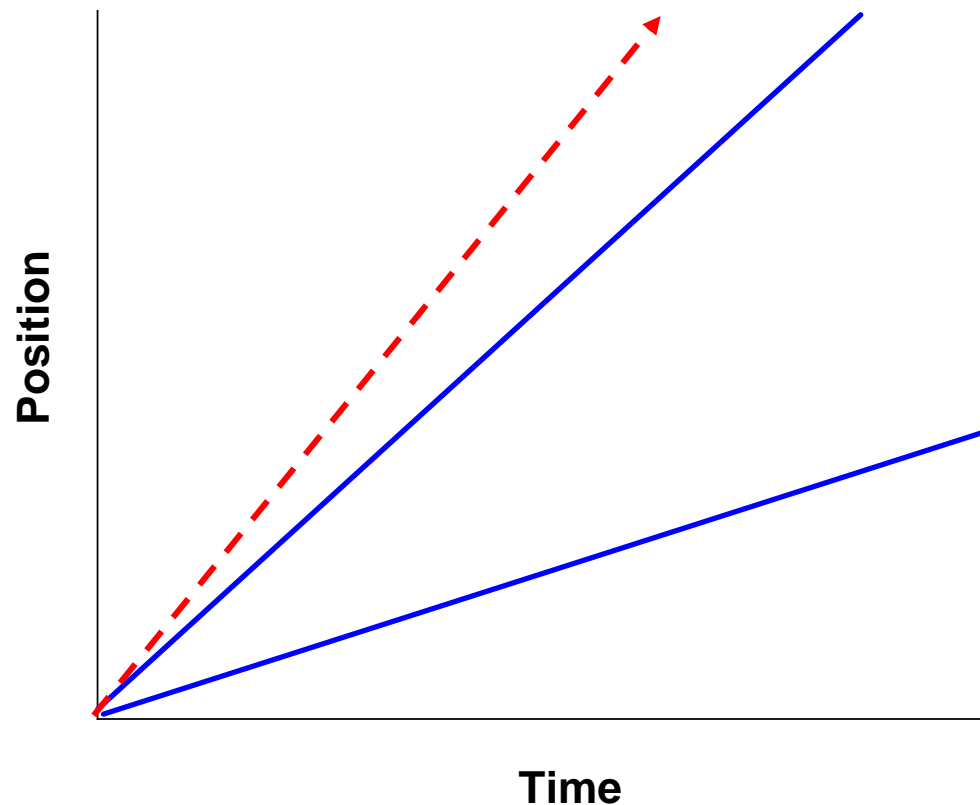
- Change to coordinates is minimised



The ideal world!

Need to accommodate error in model or changes in deformation

Need to accommodate local and spatially complex deformation

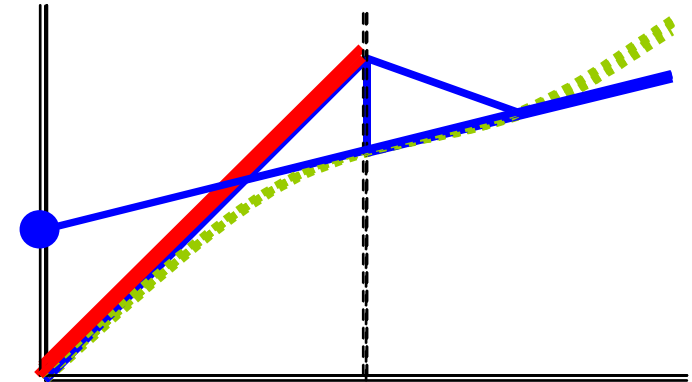
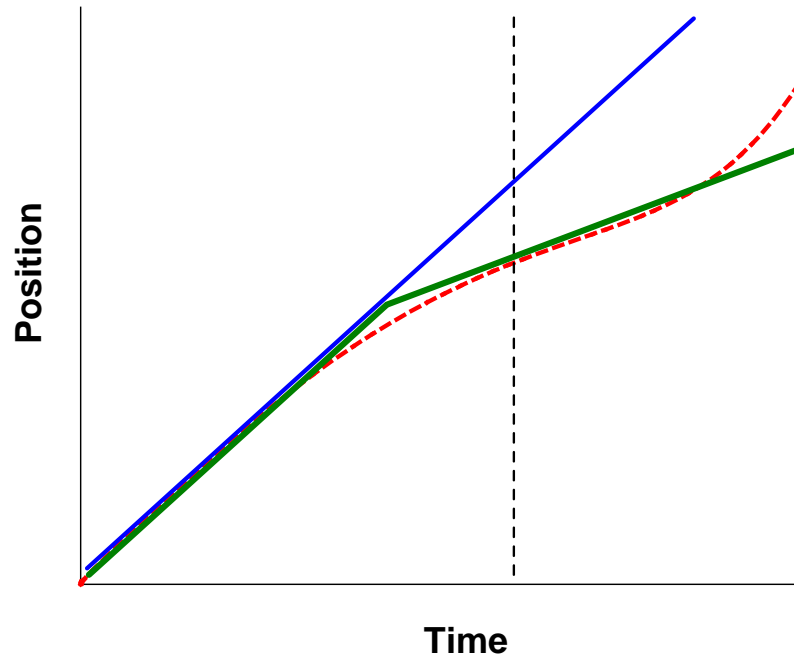


Steer to a new model

Jump to the new model

Revise the previous model

Ignore the previous model



Solution

Revise the previous model

always preserves the best estimate of past and future position and velocity

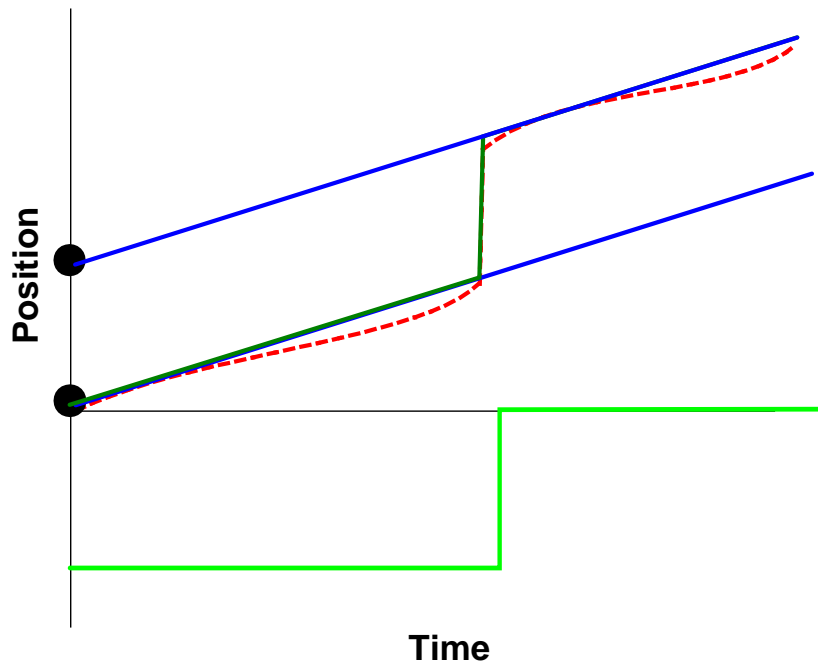
The deformation event is not incorporated into the deformation model (dot is the base epoch coordinate of the mark)

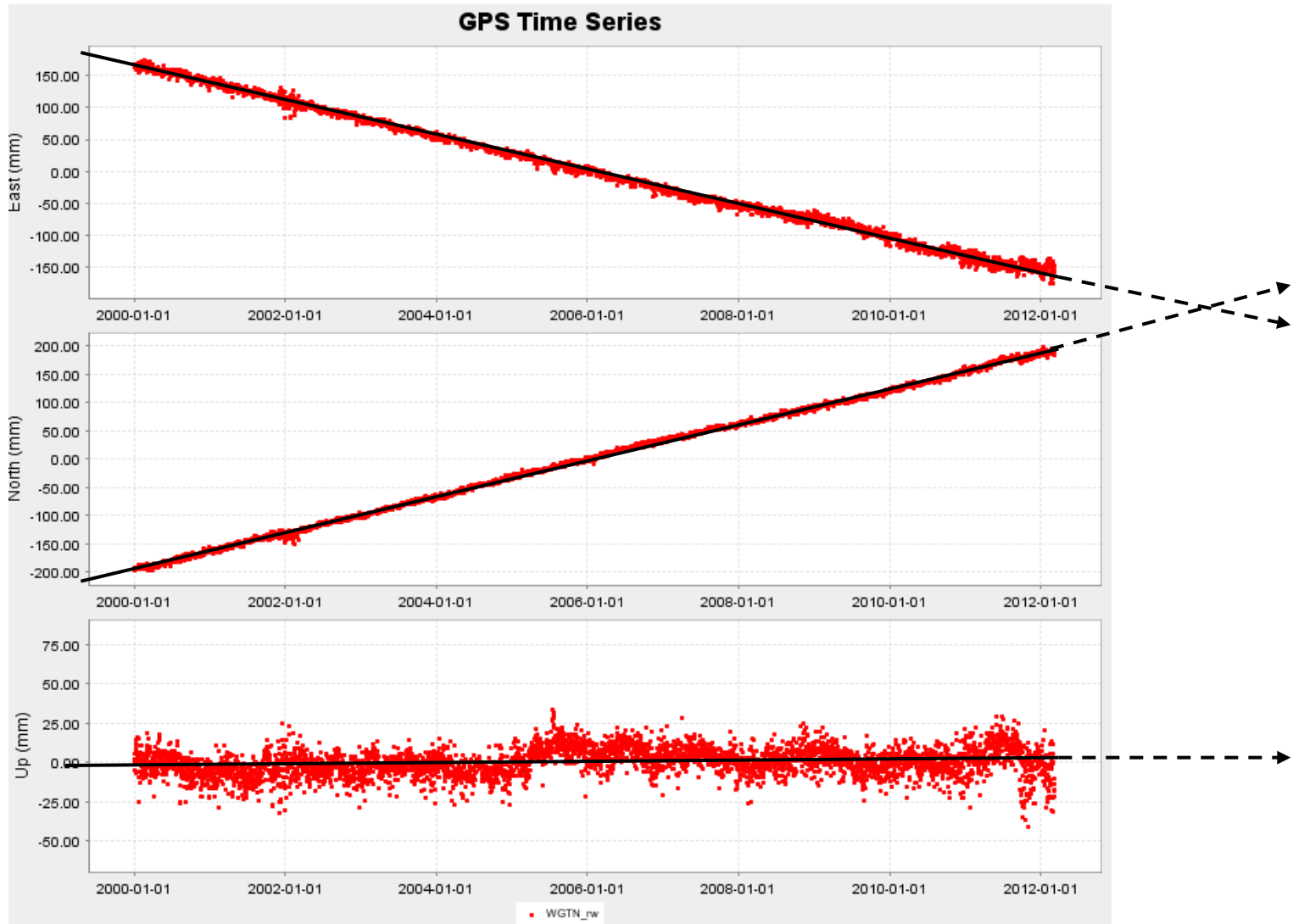
The 'patch' deformation model – in this case a discrete event

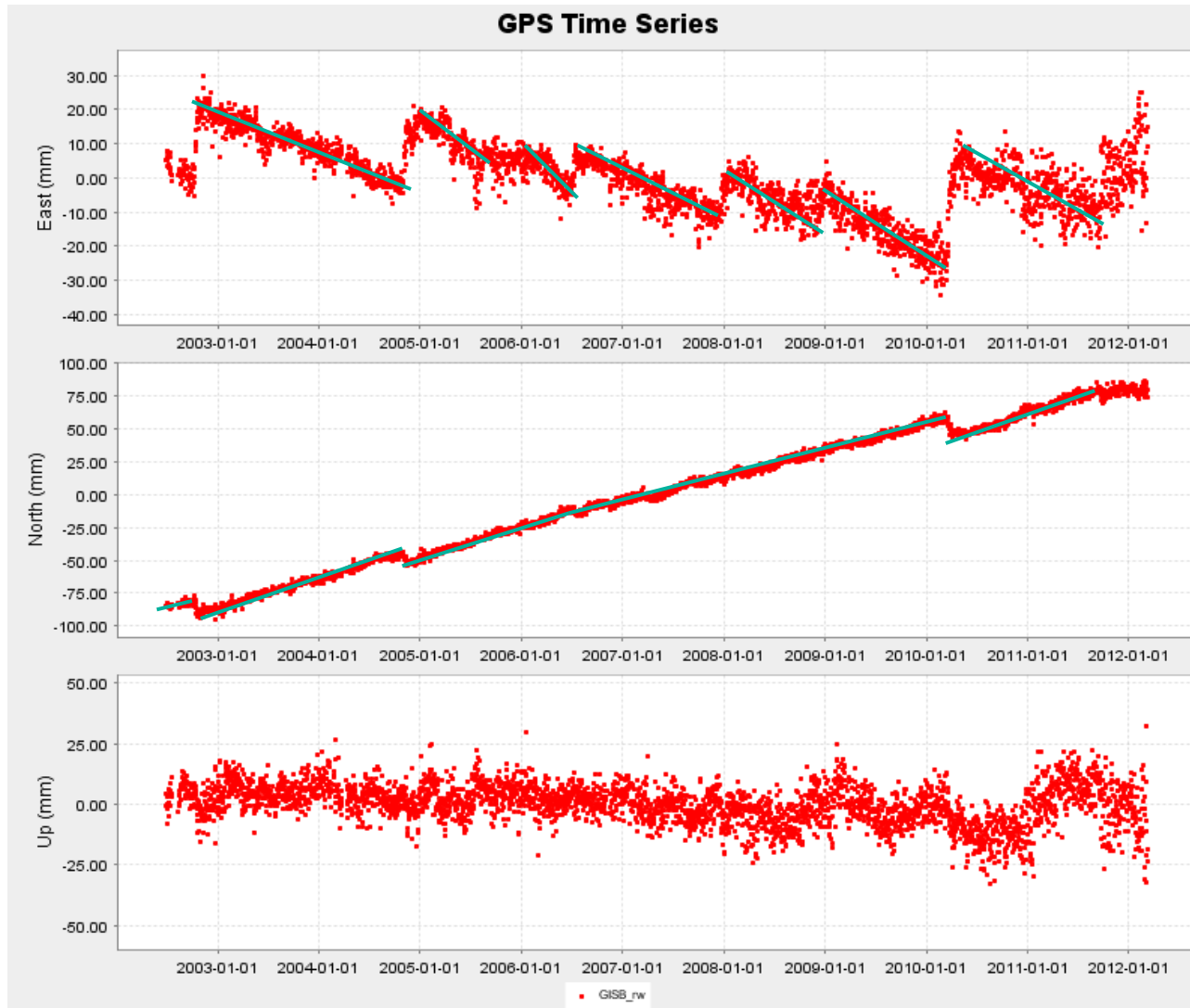
The trajectory of the mark – incorporated the national deformation model and the 'patch'

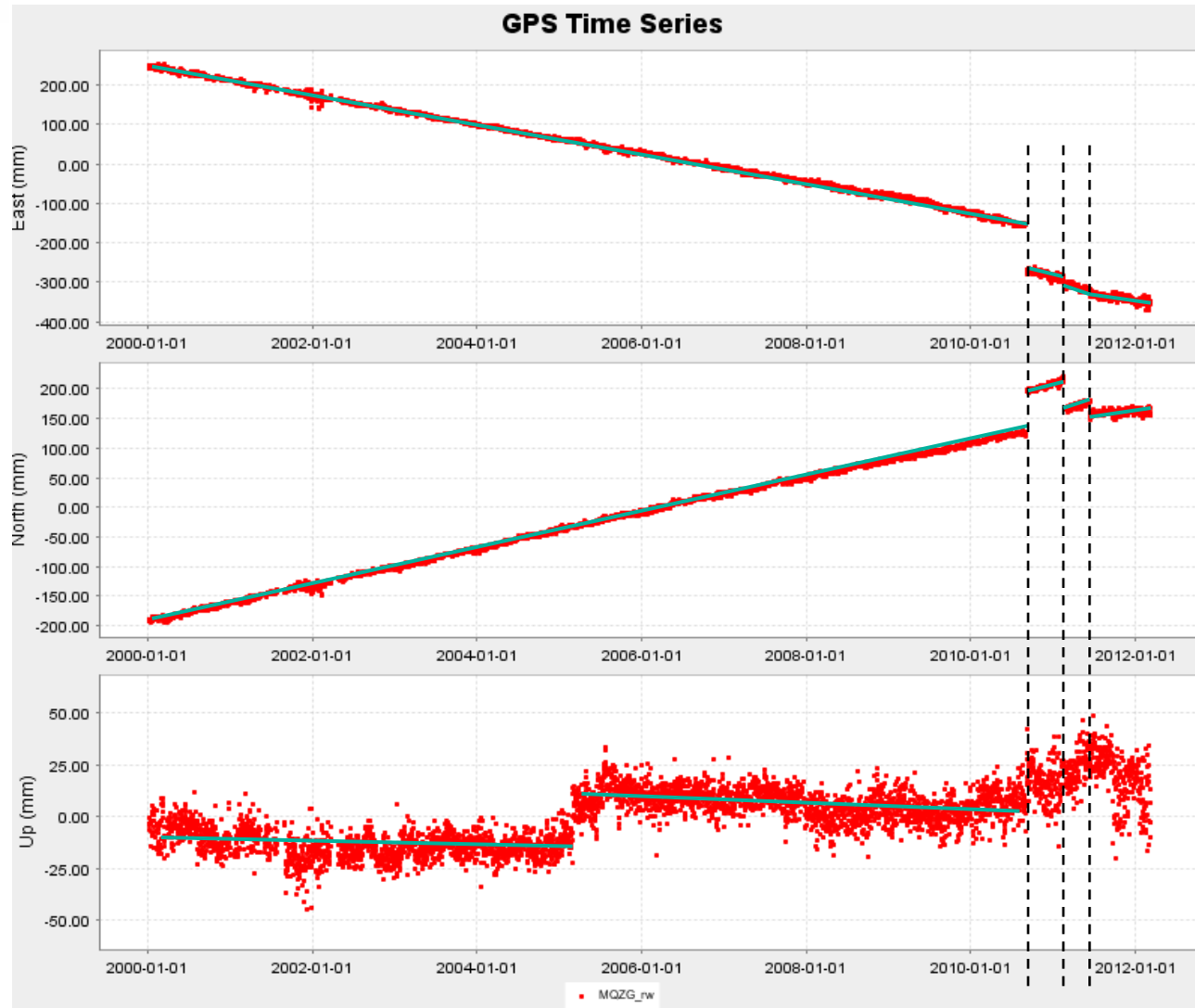
The base epoch coordinate is changed to incorporate the offset calculated from the patch

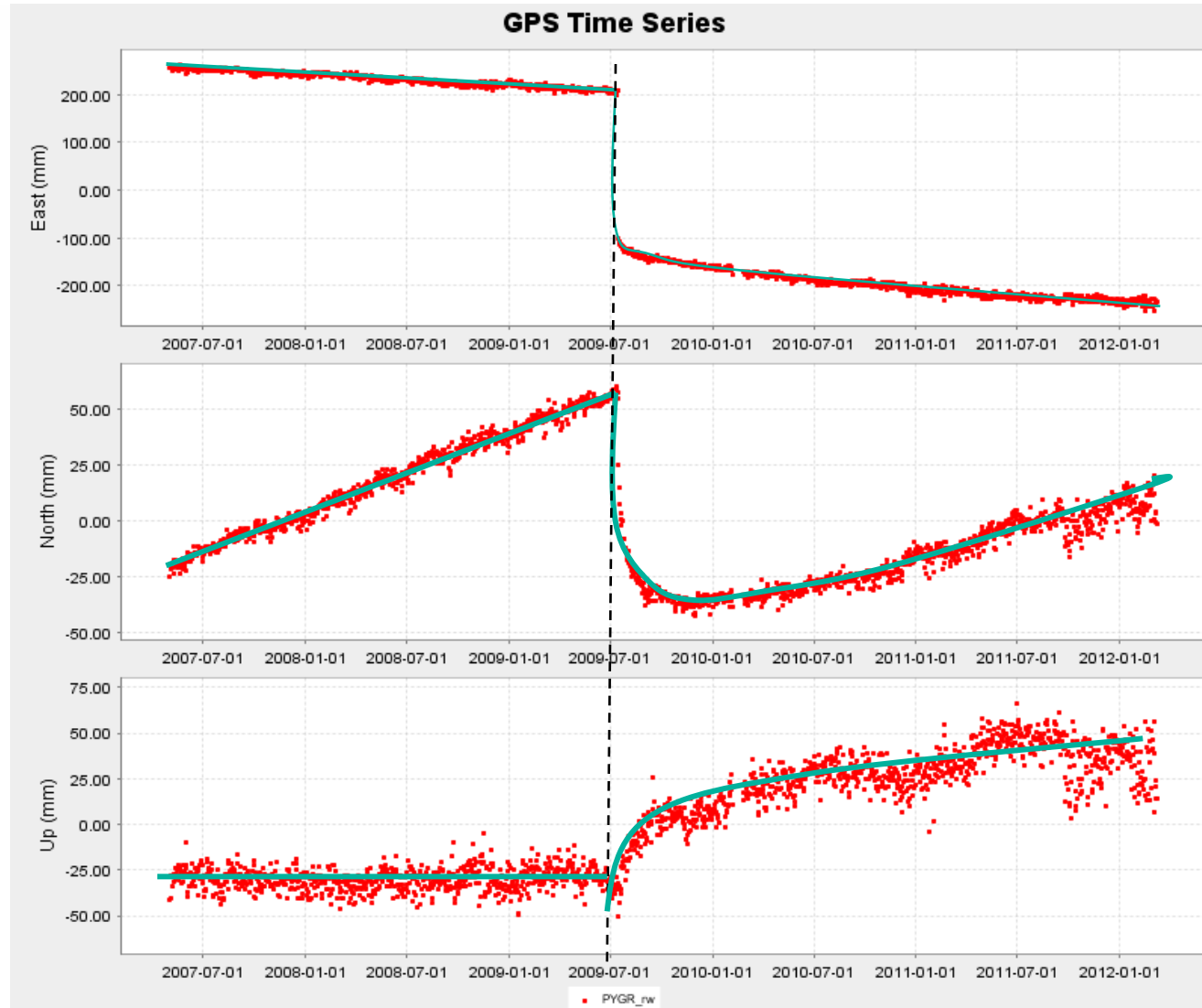
Coordinates for times after the event just use the national deformation model and coordinates before the event include the patch.









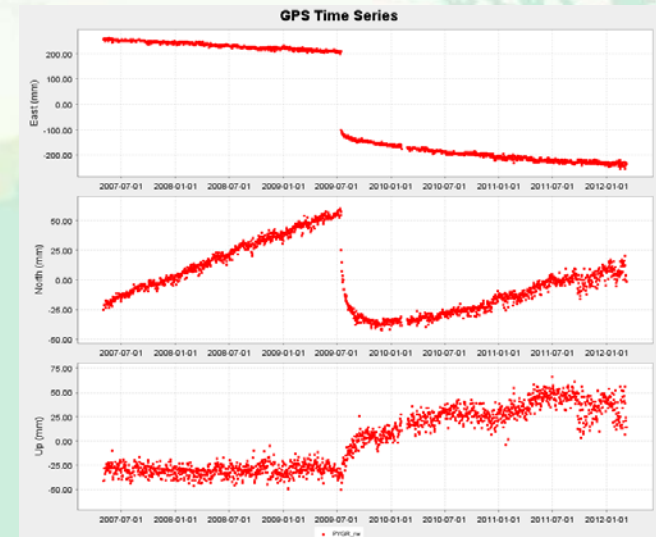
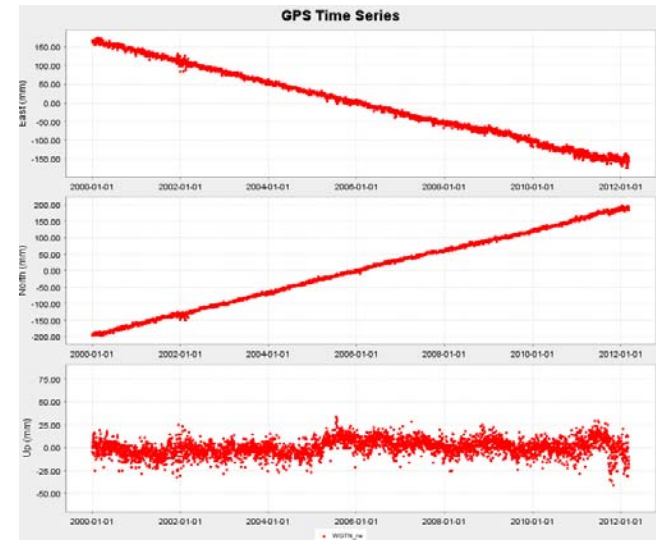


Options – regional deformation

- Simple rectangular grid (simplest method)
- Complex grid (eg curvilinear grid)

Options – complex deformation

- Densify the national deformation model (model becomes very complex)
- need a detailed triangulated grid – becomes complex
- define a local ‘patch’ for the model (covers the area of the event with zero deformation at the boundaries)
- change coordinates



The pros and cons of static, semi-dynamic datum and dynamic datums

Static Datum

Coordinates are fixed at a reference epoch

Does not incorporate the effects of plate tectonics and deformation events

Coordinates slowly go out of date, need to change periodically which is disruptive

Dynamic datum

Incorporates a deformation model to manage changes (plate tectonics and deformation events)

Coordinates change continuously

Can be confusing and difficult to manage

Semi - dynamic datum (NZGD2000)

Incorporates a deformation model to manage changes (plate tectonics and deformation events)

Coordinates fixed at a reference epoch

Change to coordinates is minimised

Maintains alignment with underlying global reference frames - ITRF

Lengthen the life of the datum

New observations can be integrated with old observations

Spatial accuracy of the geodetic network/datum is maintained or increased

Enables non-expert users to be isolated from the complexities of the dynamics
(semi-dynamic datum only)

For practical purposes appears as a static datum (semi dynamic datum only)

Limited by the accuracy of the deformation model

Model can become complex over time to incorporate the effects of deformation events (e.g. earthquakes)

Coordinates need to be time tagged – cause confusion (dynamic datum only)

Most users do not know how to use a deformation model which is required to work with a dynamic datum

If using real time systems (CORS networks) need to use the deformation model to manage real time coordinates (semi-dynamic datums only)

Accommodate vertical deformation

- vertical deformation trends may be obscured by much larger localised episodic or cyclic events
- triangulated or other irregular grid probably required

Latency

- may be considerable time between a deformation event and the ‘patch’ being implemented
- for discrete events deformation may continue for some time requiring different versions of the patch

Extension Offshore

- how do you model deformation offshore?
- offshore may need to incorporate global model – express velocities as global rotations

Changing Reference Epoch

- may ultimately need to change the reference epoch once coordinates become inconveniently different from true current positions (semi-dynamic datum only)

Joining adjacent jurisdictions/datums

Development of a Deformation Model



Dynamic (kinematic) – ITRF



**Deformation
Model**

**Semi-dynamic (kinematic) datum
Fixed epoch of ITRF**

Application:

GNSS data processing & analysis
(e.g. PPP, RTK, NRTK, DGPS, Static
post-processing)
Large-scale deformation analysis
GGOS

Application:

All other spatial applications
(e.g. cadastral, engineering,
mapping, precision agriculture,
mining, LiDar products)
terrestrial surveying
(e.g. TLS, total-station)

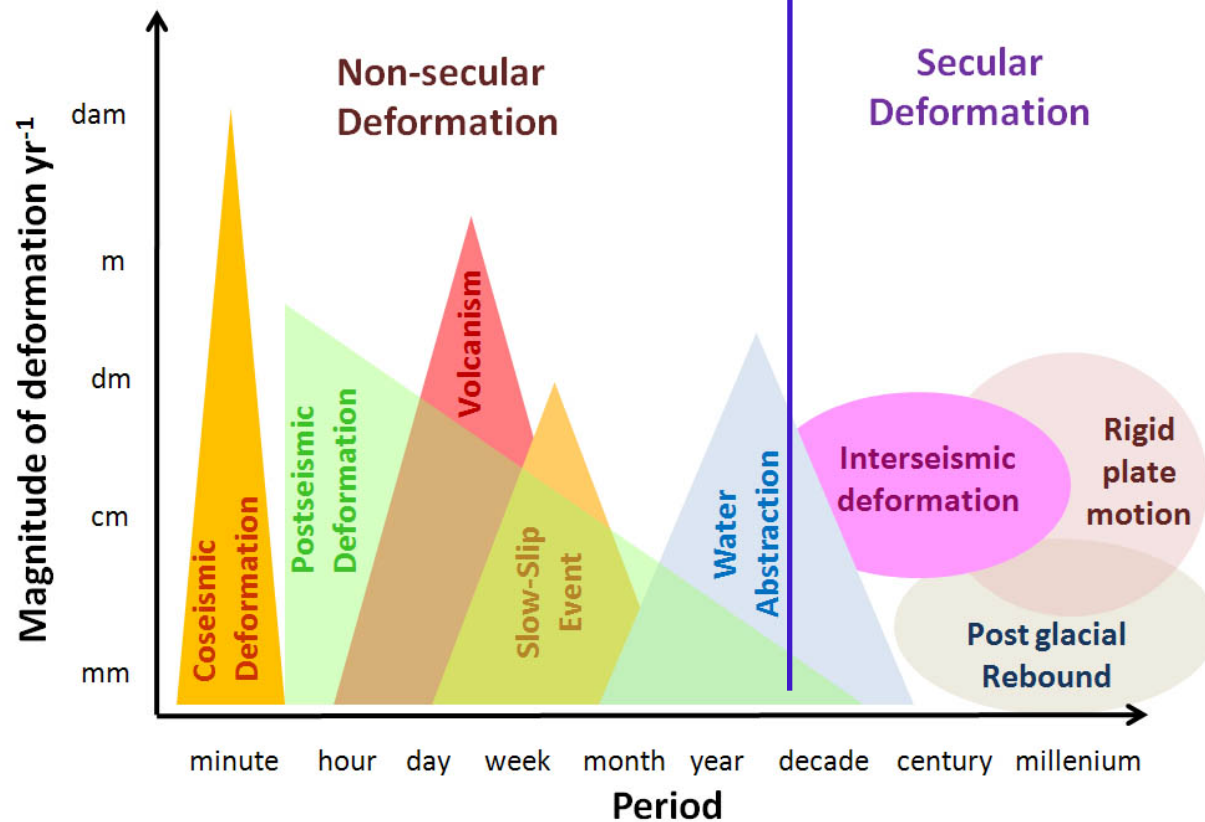


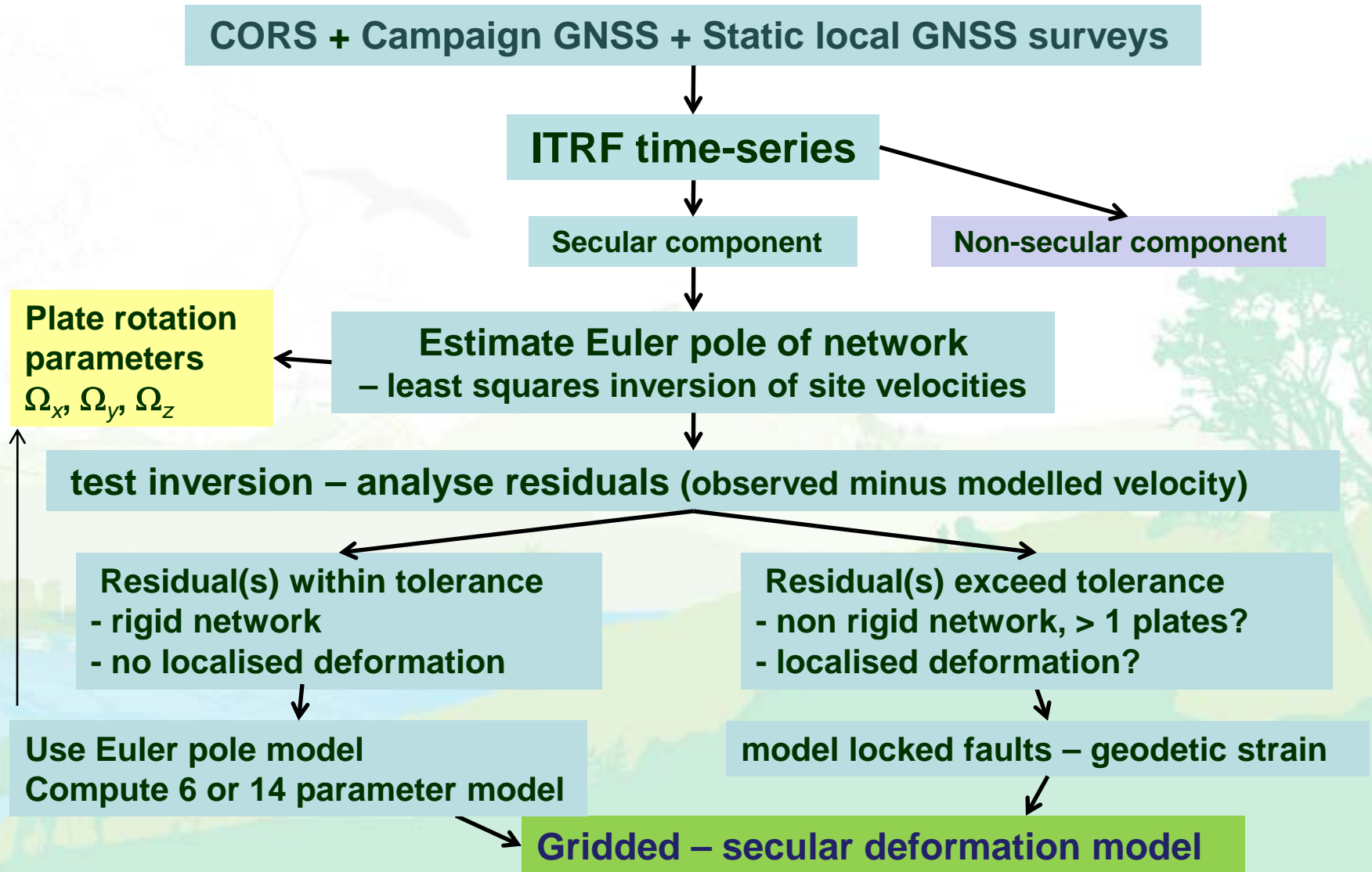
Classification of Deformation



Results in changes in
coordinates of working frame
- patch model

Deformation is “invisible”
in working frame
- secular model





**aligned with
current ITRF**

no scale change / rate
no translation / rate
rotation of axes + rate



**6 parameter
transformation or**
 $R_x, R_y, R_z, +$
rates

**3 parameter
Euler
propagation**
 $\Omega_x, \Omega_y, \Omega_z$

**aligned with
earlier ITRF realisation
or non ITRS ellipsoid**

scale change / rate
translation / rate
rotation of axes + rate



14 parameter transformation
 $S, T_x, T_y, T_z, R_x, R_y, R_z, +$ rates



Gridded secular deformation model
ITRF site velocities on a 1° or 0.1° grid

Site velocity estimated by bilinear interpolation
(as used in geoid or grid distortion modelling)

14 parameter transformation

$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix}_{t_0} = \begin{bmatrix} T_x + \dot{T}_x(t-t_0) \\ T_y + \dot{T}_y(t-t_0) \\ T_z + \dot{T}_z(t-t_0) \end{bmatrix} + \{S + \dot{S}(t-t_0)\} \begin{bmatrix} 1 & \{R_z + \dot{R}_z(t-t_0)\} & -\{R_y + \dot{R}_y(t-t_0)\} \\ -\{R_z + \dot{R}_z(t-t_0)\} & 1 & \{R_x + \dot{R}_x(t-t_0)\} \\ \{R_y + \dot{R}_y(t-t_0)\} & -\{R_x + \dot{R}_x(t-t_0)\} & 1 \end{bmatrix} \cdot \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}_t$$

6 parameter transformation (no translation or scale)

$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix}_{t_0} = \begin{bmatrix} 1 & \{R_z + \dot{R}_z(t-t_0)\} & -\{R_y + \dot{R}_y(t-t_0)\} \\ -\{R_z + \dot{R}_z(t-t_0)\} & 1 & \{R_x + \dot{R}_x(t-t_0)\} \\ \{R_y + \dot{R}_y(t-t_0)\} & -\{R_x + \dot{R}_x(t-t_0)\} & 1 \end{bmatrix} \cdot \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}_t$$

t_0 is the reference epoch (years)

t is the epoch of measurement (years)

T_x, T_y, T_z Translation parameters (m) $\dot{T}_x, \dot{T}_y, \dot{T}_z$ rate of change (m/yr)

R_x, R_y, R_z Rotation parameters (radians) $\dot{R}_x, \dot{R}_y, \dot{R}_z$ rate of change (radians/yr)

S Scale (unitless) \dot{S} rate of change (per yr)

Site velocity from Euler pole definition

$$\begin{bmatrix} \dot{X} \\ \dot{Y} \\ \dot{Z} \end{bmatrix} = \begin{bmatrix} \Omega_Y Z - \Omega_Z Y \\ \Omega_Z X - \Omega_X Z \\ \Omega_X Y - \Omega_Y X \end{bmatrix} \cdot 1\text{E-6} \quad \longrightarrow \quad \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}_{t_0} = \begin{bmatrix} X \\ Y \\ Z \end{bmatrix}_t + \begin{bmatrix} \dot{X} \\ \dot{Y} \\ \dot{Z} \end{bmatrix} \cdot (t_0 - t)$$

t_0 reference epoch of the semi-kinematic datum (in decimal years)

t epoch of measurement (in decimal years)

$(\Omega_X, \Omega_Y, \Omega_Z)$ Euler pole (Cartesian rotation format)

$(X, Y, Z)_{t_0}$ semi-kinematic coordinates computed at the reference epoch (m)

$(X, Y, Z)_t$ kinematic ITRF coordinates at the measurement epoch (m)

$(\dot{X}, \dot{Y}, \dot{Z})$ ITRF site velocity estimated from the Euler pole definition or interpolated from the secular deformation model (m/yr)

Regular grid deformation model

- standard ASCII format
(latitude, longitude, latitude rate, longitude rate, vertical rate)
- 1°, 0.25° or 0.1° grid size
- bilinear interpolation
- similar format to geoid model
- planar assumption < 0.01 mm/yr error for 1° grid size
- accommodates some localised deformation and strain
(depending upon grid size)

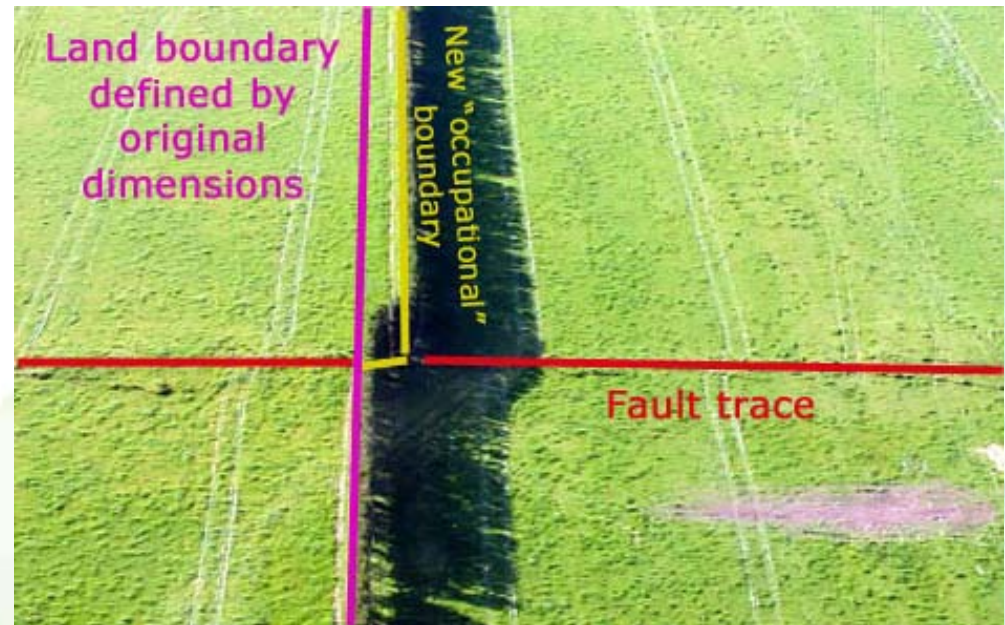
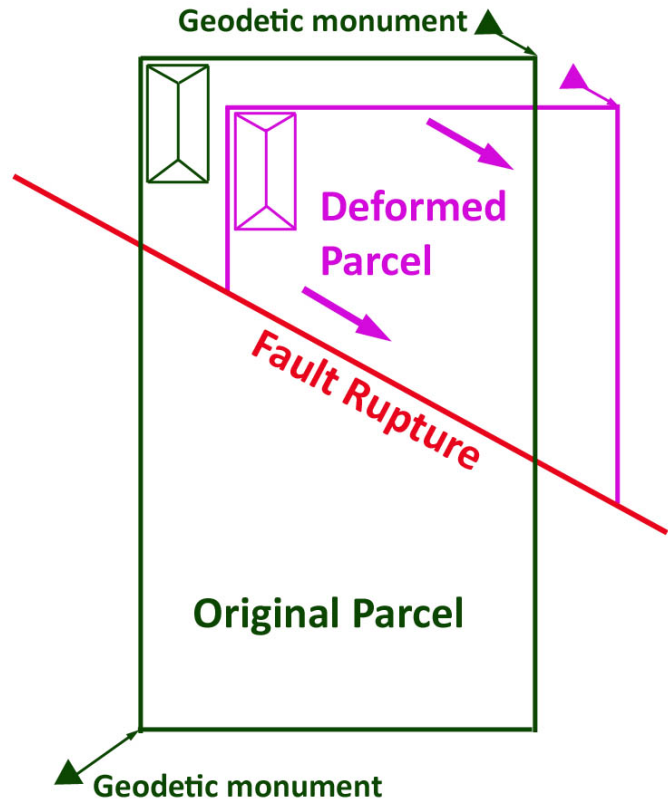
Limitations of rigid plate and 14 parameter models

- localised deformation distributed over model
- does not work where differential geodetic rates occur
- assumes rigid or uniformly deforming tectonic plate

Incorporating Episodic Events (e.g. earthquakes) into a Deformation Model



Why episodic events need to be modelled in



Localised deformation should result in coordinate changes to reflect visible reality

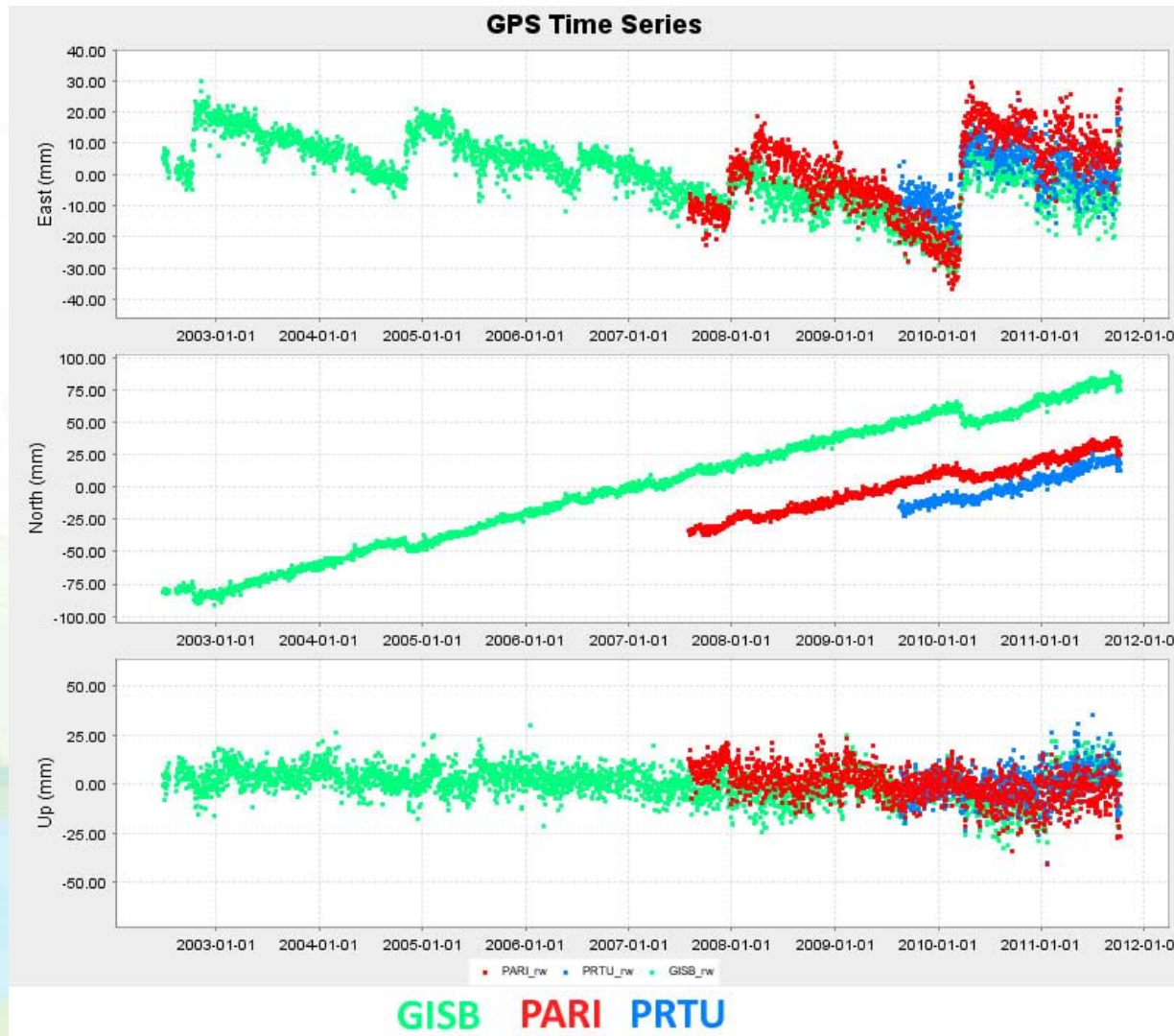


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Typical time-series in a deforming zone

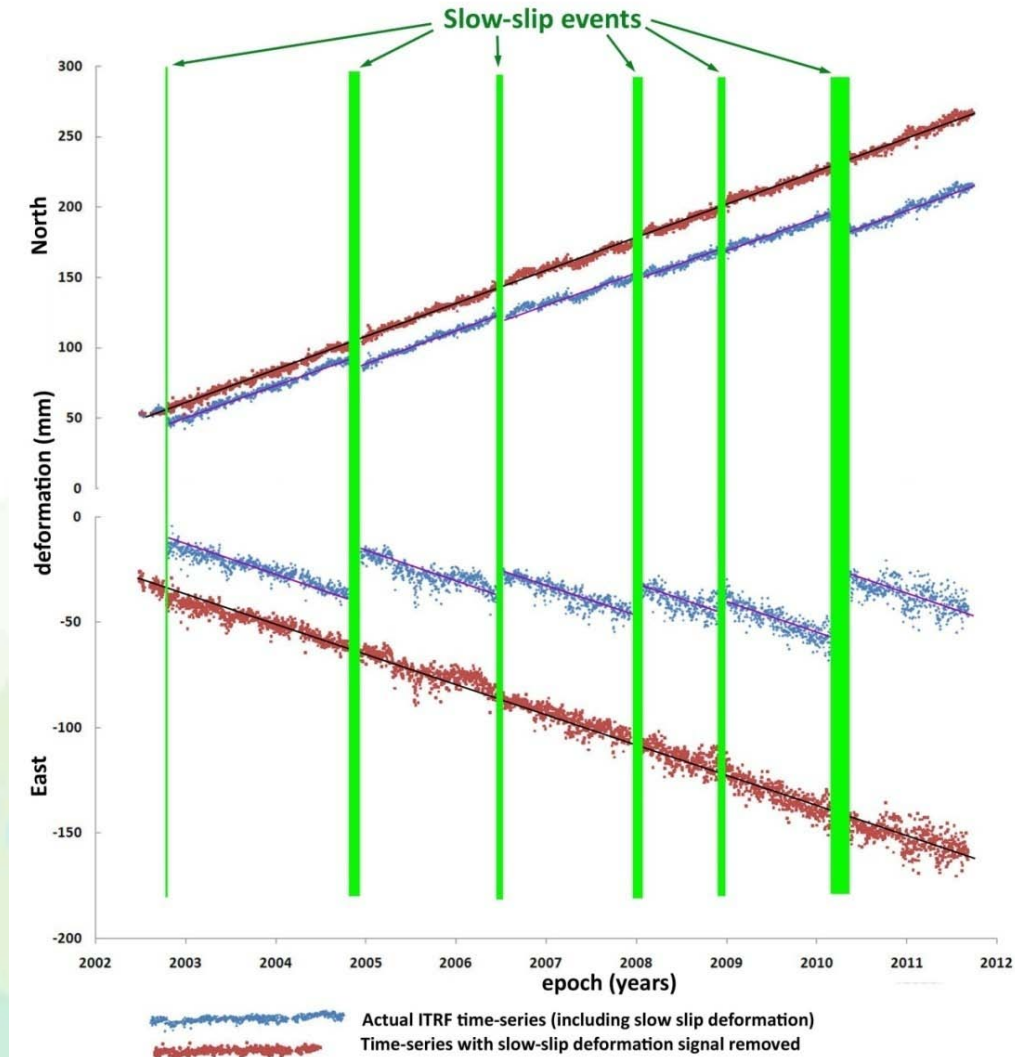


**Land Information
New Zealand**
Toitū te whenua



Separating seismic and secular (interseismic) deformation from time-series

Seismic patch is a sum of all non-secular (episodic) deformation between reference and measurement epoch



Model Inputs –

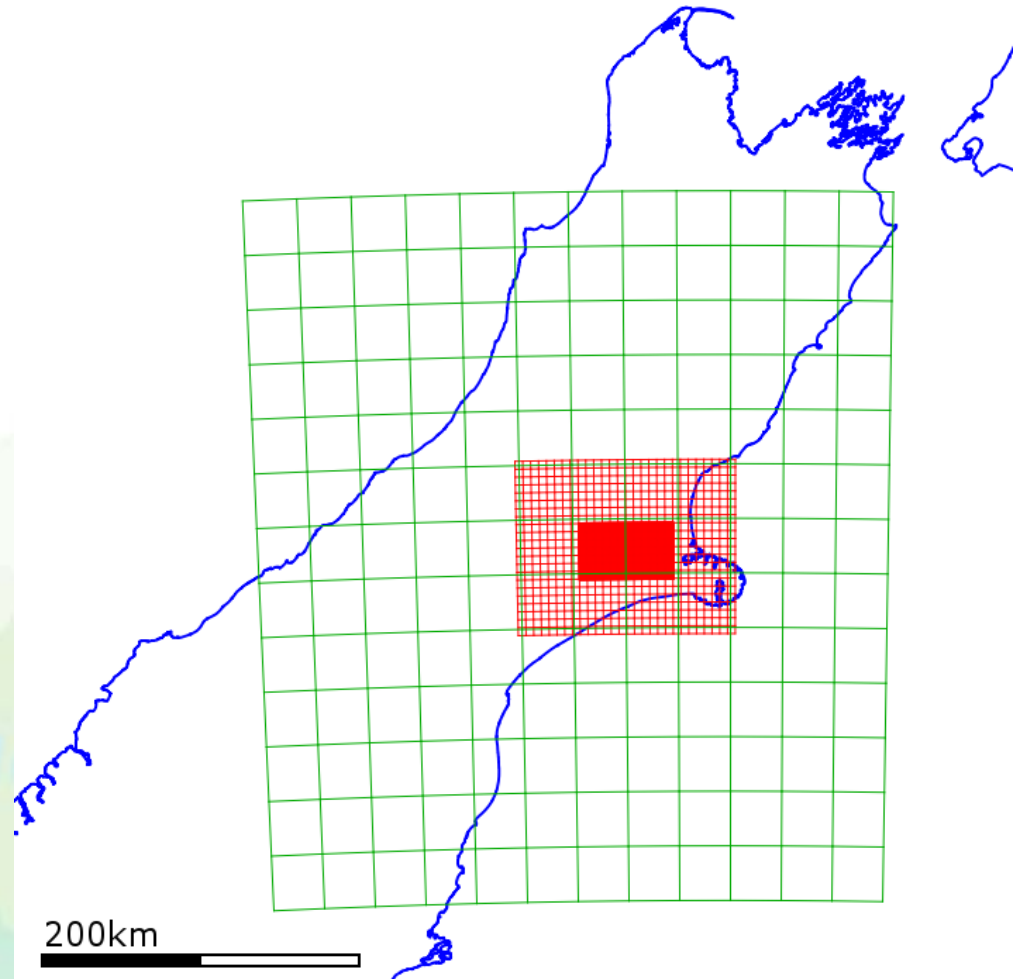
InSAR

LiDar & High-res imagery

analysis of seismic
data

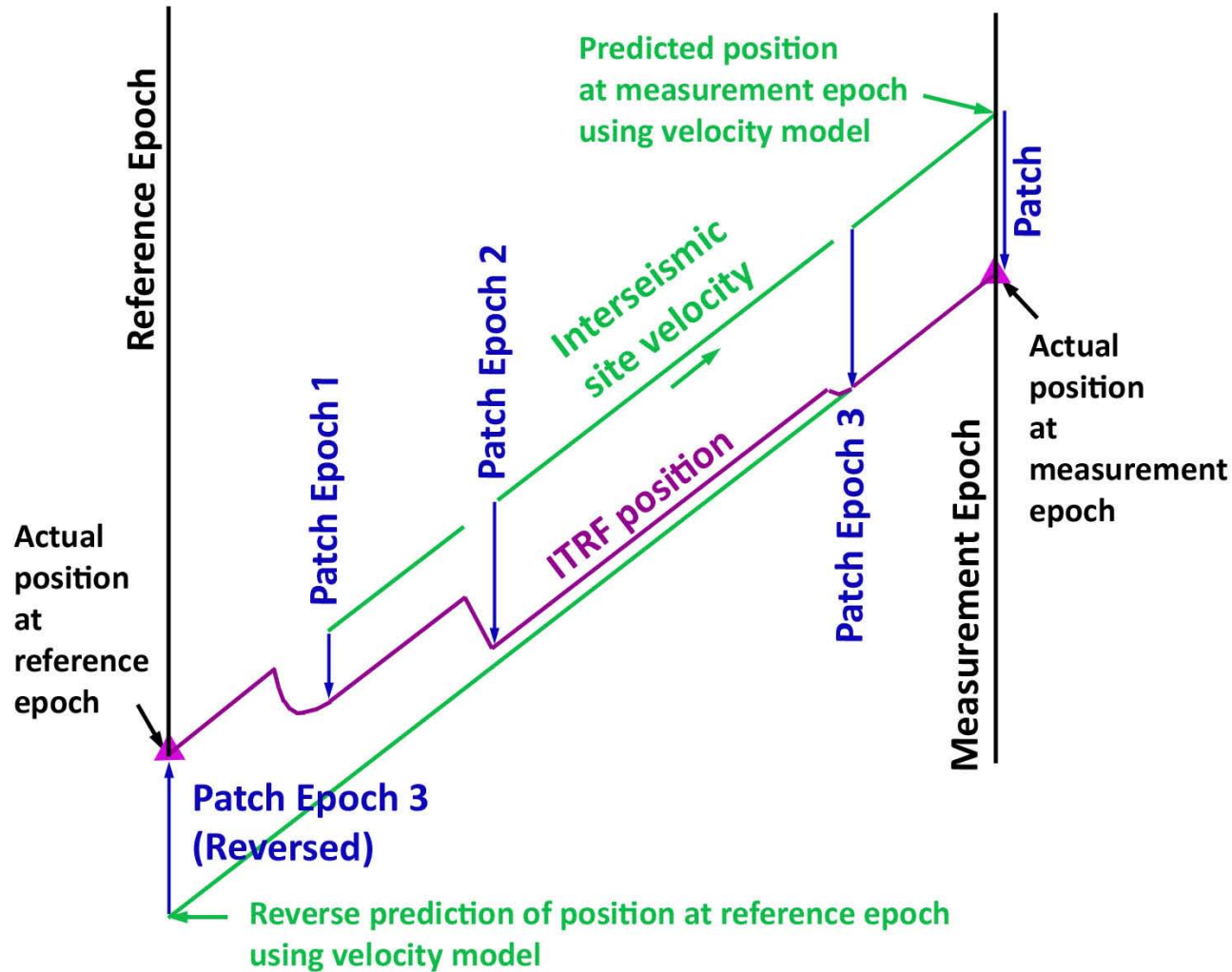
Repeat GNSS
obs of dense passive
network
*(Strong argument for
maintaining passive
geodetic infrastructure)*

Terrestrial surveys





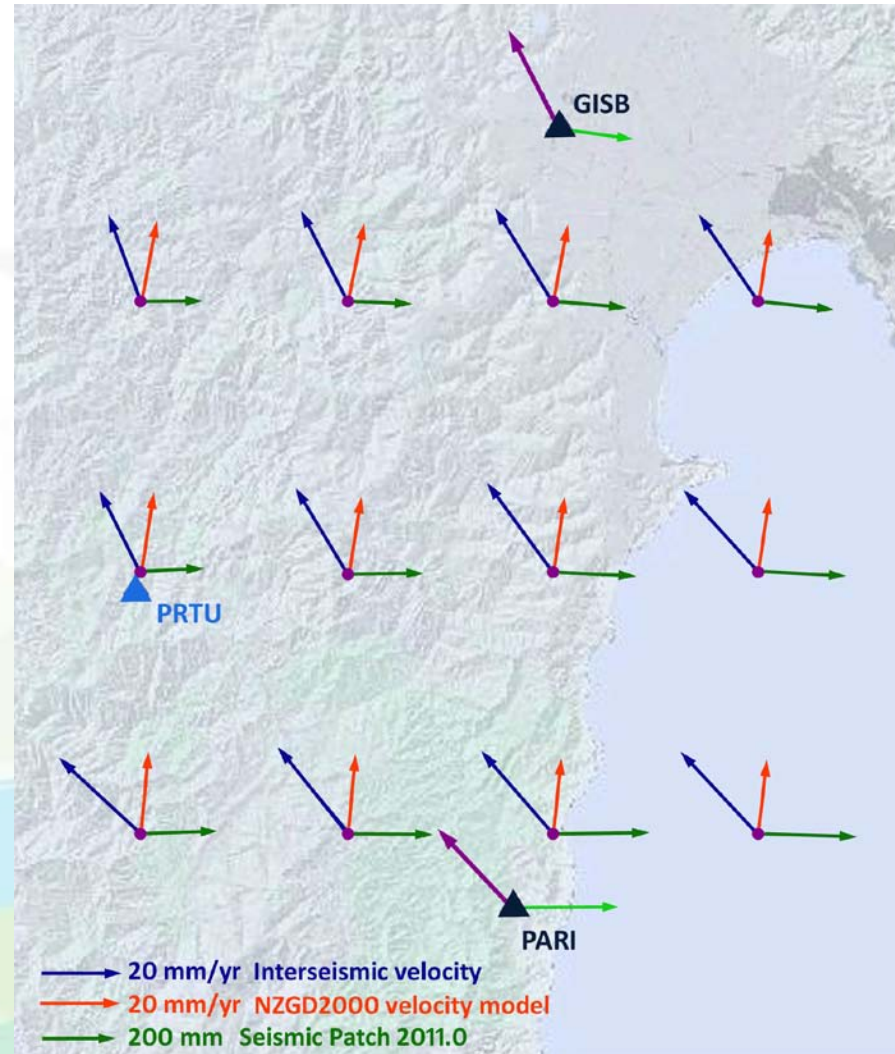
Two modes of deformation - concept



**secular model
(blue)**

**patch model
(green)**

**existing model
(orange)**



Rover (PRTU)

ITRF2008 Epoch 2011.008 S 38° 48' 51.0946" E 177° 41' 52.3646"

The ITRF site velocity from interseismic velocity model :

E -0.0108 m/yr N 0.0217 m/yr

The seismic patch model at epoch 2011.0 ΔE 0.183 m ΔN 0.008 m

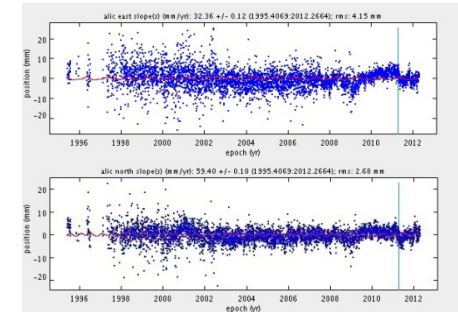
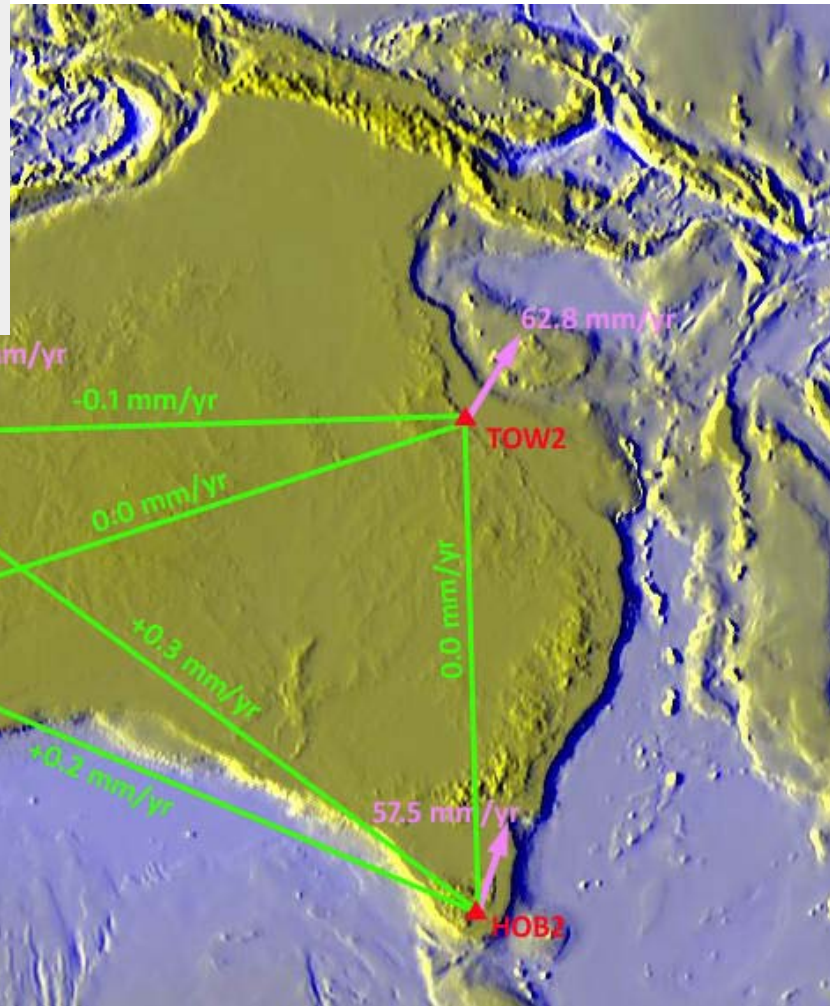
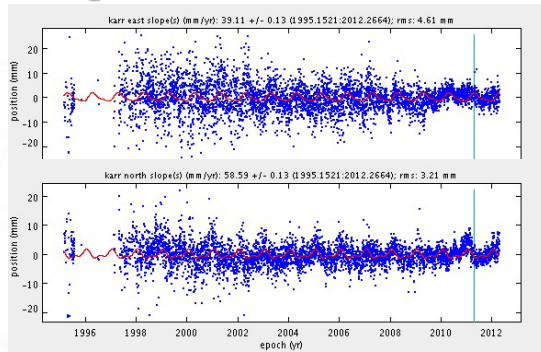
NZGD2000 (estimated from model) S 38° 48' 51.1026" E 177° 41' 52.3620"

NZGD2000 (tabulated) S 38° 48' 51.1021" E 177° 41' 52.3619"

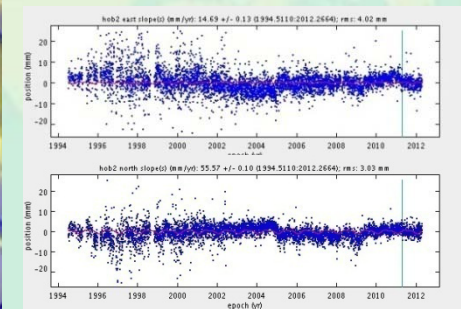
Tabulated – estimated:

ΔE -0.002 m ΔN 0.014 m

Rigid plate case study (Australia)



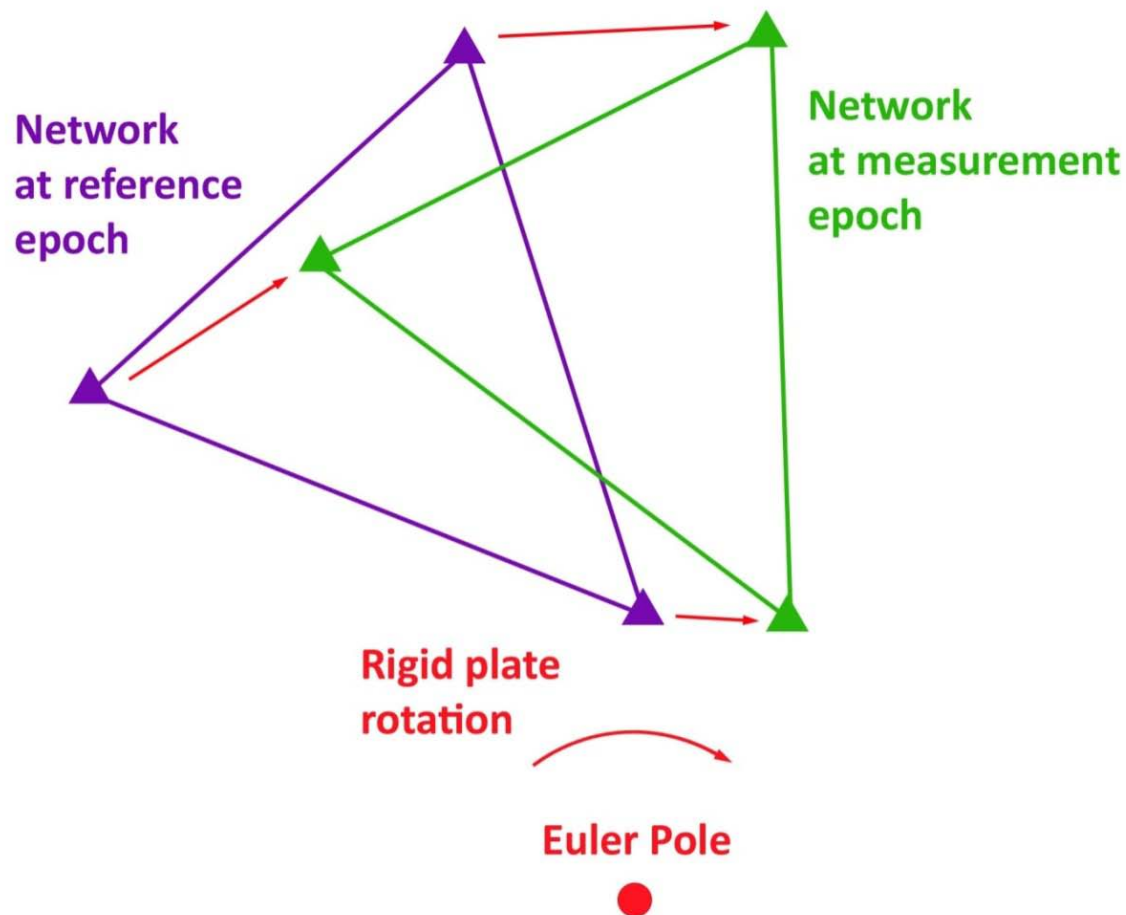
Time-series plots
SCRIPPS, UCSD



purple arrows – tectonic movement, green lines – baseline changes per year



Effect of Rigid plate rotation on GNSS baselines



**Australian
Plate rotates at
~0.63 / Ma**

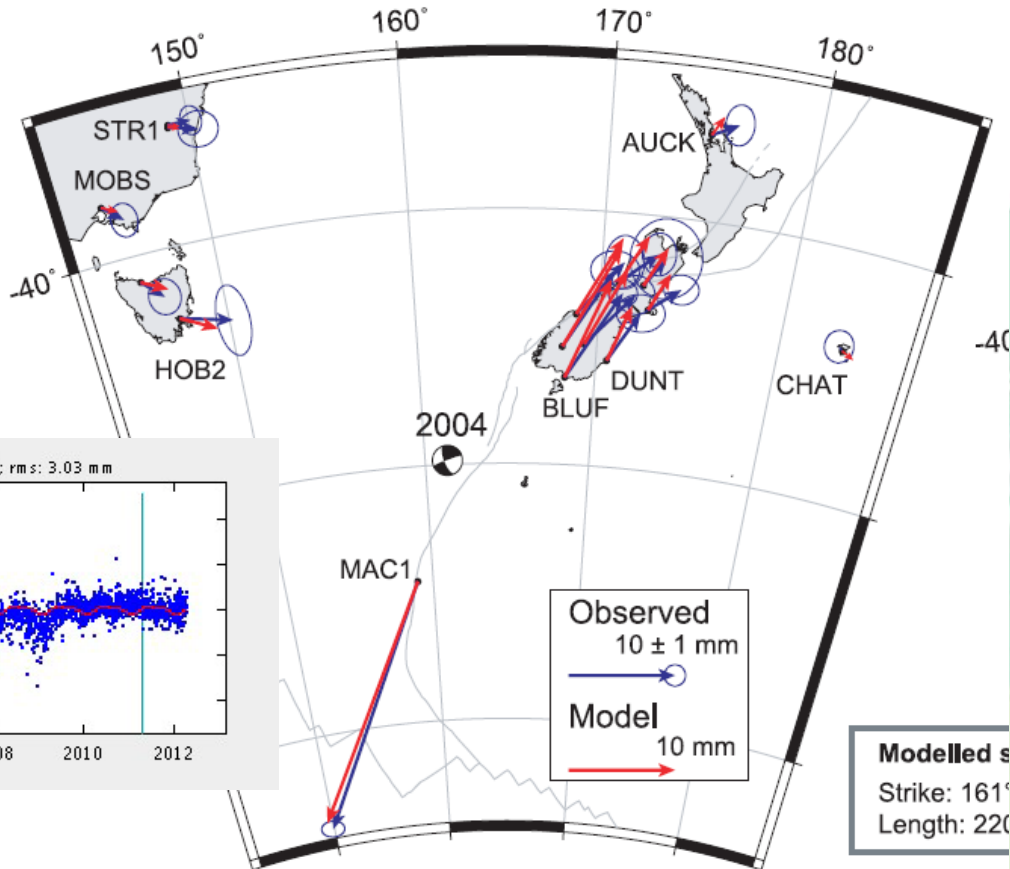
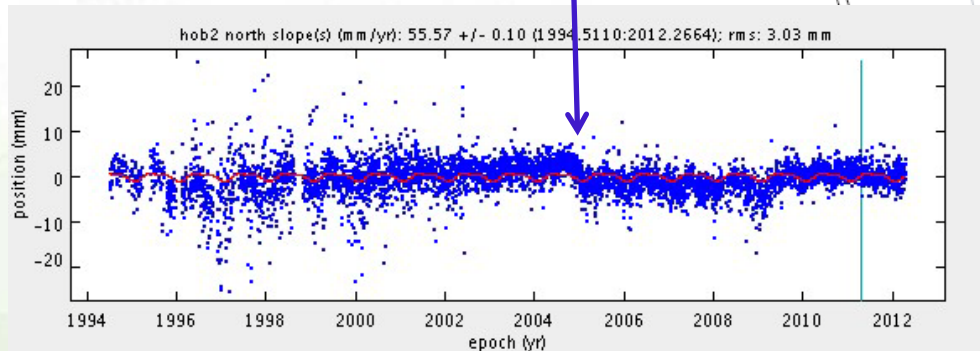
=

**5 mm rotation of
a 30 km
GNSS baseline after
only 15 years**

**e.g. holding rigid plate
coordinates at an early
epoch fixed for
static processing or
RTK at later epochs**



**23 December 2004
HOB2 (Hobart, Tasmania)**



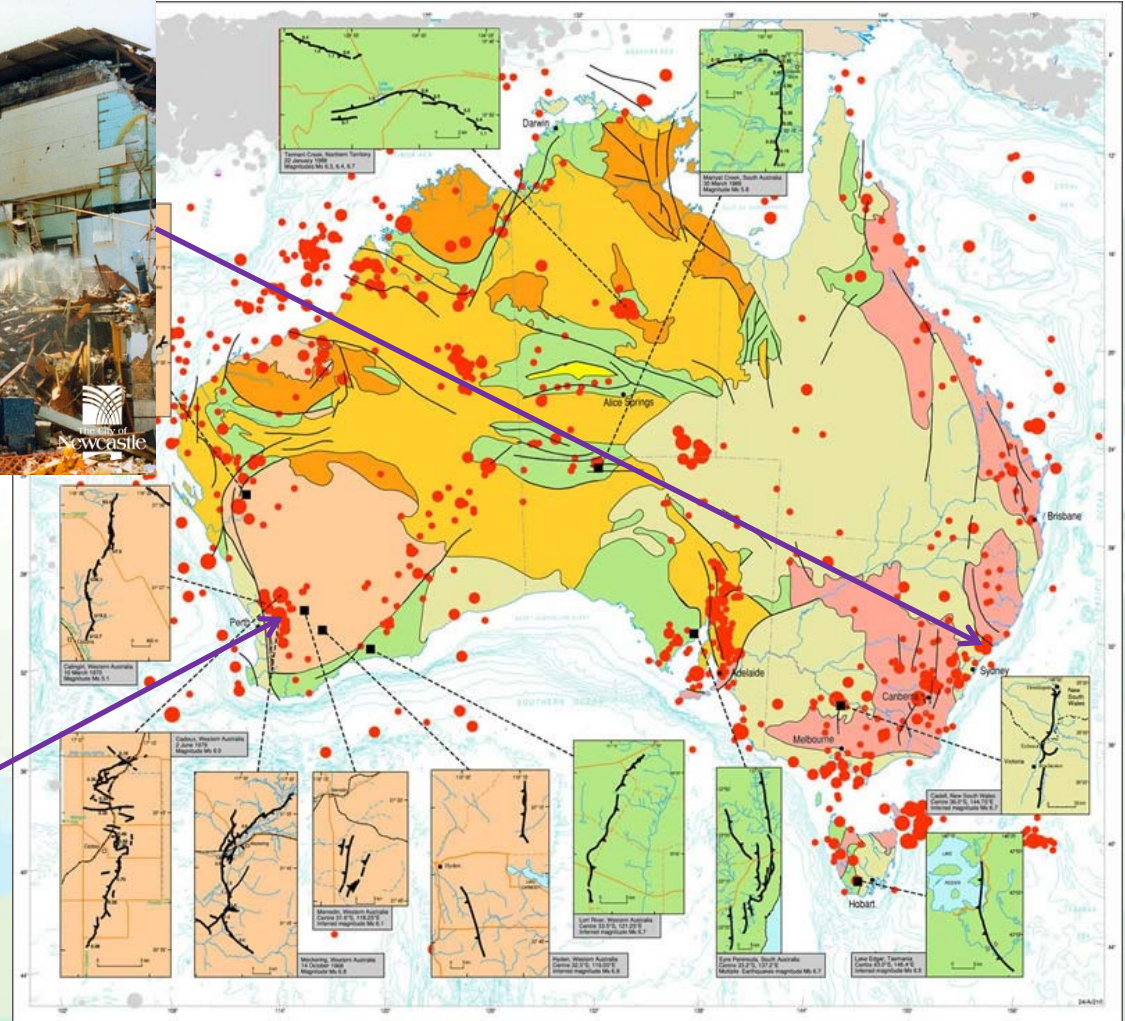
**Far-field deformation from great earthquakes around the Australian margin
(e.g. Mw8.1 23 December 2004 Macquarie Island, from Watson *et. al*, 2010)**



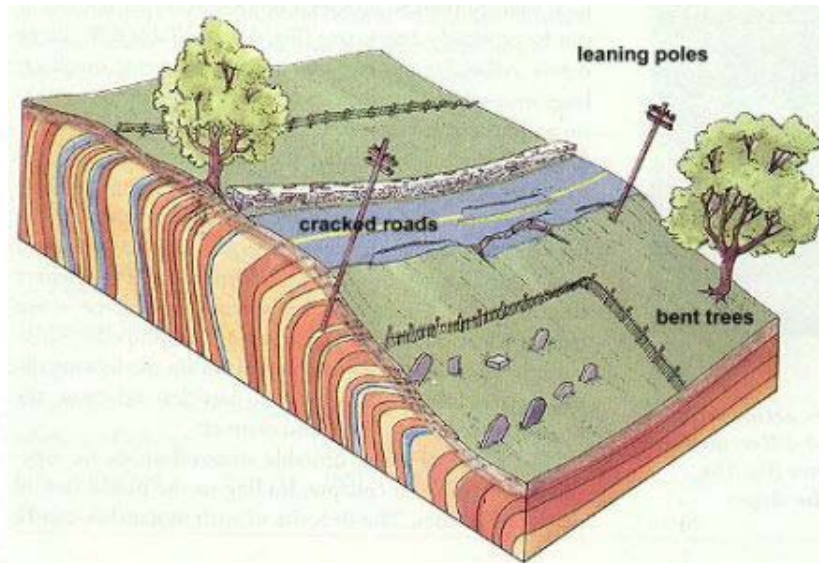
Newcastle, NSW, 1989



Meckering, WA, 1968



Images courtesy Geoscience Australia



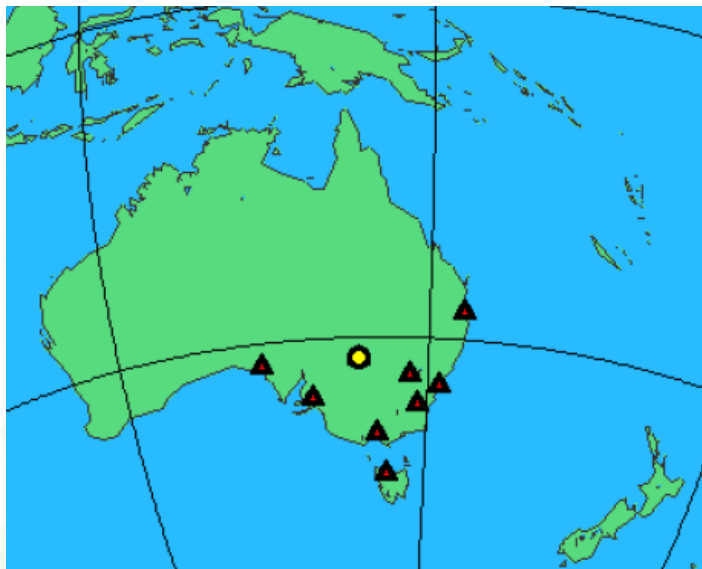
Surface creep

**Sedimentary basins and
non-bedrock sites can be
subject to significant
localised deformation**

Subsidence



Images from USGS



1 User Data

All antenna heights refer to the vertical distance from the Ground Mark to the Antenna Reference Point (ARP).

Station (s)	Submitted File	Antenna Type	Antenna Height (m)	Start Time	End Time
0015	0015241w.080	SOK_GSR2700IS NONE	0.910	2008/08/28 22:05:00	2008/08/29 08:30:30

```

0015243w.080
2.11 OBSERVATION DATA G (GPS) RINEX VERSION / TYPE
Spectrum Link 7.5 24-APR-12 16:18 PGM / RUN BY / DATE
build January 04, 2010 by (c) Topcon Positioning Systems COMMENT
00152431 MARKER NAME
MARKER NUMBER
-Unknown- -Unknown- -Unknown-
NZH06260015 OBSERVER / AGENCY
REC # / TYPE / VERS
ANT # / TYPE
-4361696.2936 3242701.6312 -3326802.1556 APPROX POSITION XYZ
0.0000 0.0000 0.0000 ANTENNA: DELTA H/E/N
1 1 WAVELENGTH FACT L1/2
2008 8 30 22 51 20.00000000 GPS TIME OF FIRST OBS
2008 8 31 8 31 0.00000000 GPS TIME OF LAST OBS
10.000 INTERVAL
14 LEAP SECONDS
23 # OF SATELLITES
6 C1 P2 L1 L2 D1 D2 # / TYPES OF OBSERV
G 2 111 111 111 111 111 111 PRN / # OF OBS
G 3 1786 1777 1786 1777 1786 1777 PRN / # OF OBS
G 6 1902 1888 1902 1888 1902 1888 PRN / # OF OBS
G 9 868 867 868 867 868 867 PRN / # OF OBS
G10 604 603 604 603 604 603 PRN / # OF OBS
G11 1330 1309 1330 1309 1330 1309 PRN / # OF OBS
G12 306 301 306 301 306 301 PRN / # OF OBS
G13 272 250 272 250 272 250 PRN / # OF OBS
G14 2220 2208 2220 2208 2220 2208 PRN / # OF OBS
G15 1427 1427 1427 1427 1427 1427 PRN / # OF OBS
G16 1726 1704 1726 1704 1726 1704 PRN / # OF OBS
G18 2334 2334 2334 2334 2334 2334 PRN / # OF OBS
G19 1648 1647 1648 1647 1648 1647 PRN / # OF OBS
G20 1120 1118 1120 1118 1120 1118 PRN / # OF OBS
G21 2224 2218 2224 2218 2224 2218 PRN / # OF OBS
G22 2682 2682 2682 2682 2682 2682 PRN / # OF OBS
G23 853 853 853 853 853 853 PRN / # OF OBS
G24 1490 1490 1490 1490 1490 1490 PRN / # OF OBS
G26 1237 1236 1237 1236 1237 1236 PRN / # OF OBS
G29 1366 1359 1366 1359 1366 1359 PRN / # OF OBS
G30 862 853 862 853 862 853 PRN / # OF OBS
G31 1747 1733 1747 1733 1747 1733 PRN / # OF OBS
G32 1553 1552 1553 1552 1553 1552 PRN / # OF OBS
SE TPS 00000000 COMMENT
END OF HEADER
08 8 30 22 51 20.00000000 0 10G 2G10G12G15G18G21G24G29G30G31
24831460.15646 24831455.37546 133535311.44941 104052947.61741 -2910.355
-2267.813
22217869.10948 22217865.13347 119800792.08241 93350726.30141 -1610.422
-1254.879
22770826.22748 22770823.46946 122706607.26241 95614991.48041 -3437.059
-2678.230
25105337.14847 25105336.94545 131929440.60941 102802147.03941 2338.379
1822.113
24208741.73447 24208738.10945 127217788.34041 99130720.00841 3900.293
3039.188

```



AUSPOS GPS Processing Report

April 24, 2012

This document is a report of the GPS data processing undertaken by the AUSPOS Online GPS Processing Service (version: AUSPOS 2.0). The AUSPOS Online GPS Processing Service uses International GNSS Service (IGS) products (final, rapid, ultra-rapid depending on availability) to compute precise coordinates in ITRF anywhere on Earth and GDA94 within Australia. The Service is designed to process only dual frequency GPS phase data.

Date	User Stations	Reference Stations	Orbit Type
2008/08/28 22:05:00	0015	ADE1 BEE2 BUR2 CEDU MOBS PARK STR1 SYDN TID1	IGS final

4.1 Cartesian, ITRF2008

Station	X (m)	Y (m)	Z (m)	ITRF2008 @
0015	-4361680.131	3242720.715	-3326809.666	28/08/2008

5.1 Coordinate Precision - Geodetic, One Sigma

Station	σ East (m)	σ North (m)	σ Up (m)
0015	0.001	0.001	0.003

! Kinematic coordinates at epoch of observation



3.1 Cartesian, GDA94

Station	X (m)	Y (m)	Z (m)
0015	-4361679.624	3242720.803	-3326810.422

3.2 Geodetic, GRS80 Ellipsoid, GDA94

Station	Latitude (DMS)	Longitude (DMS)	Ellipsoidal Height(m)	Derived AHD (m)
0015	-31 38 33.60805	143 22 14.83619	87.237	73.468

3.3 MGA Grid, GRS80 Ellipsoid, GDA94

Station	East (m)	North (m)	Zone	Ellipsoidal Height (m)	Derived AHD (m)
0015	724826.751	6496729.544	54	87.237	73.468

$$T_x = -0.06386(m)$$

$$T_y = 0.00023(m)$$

$$T_z = 0.04521(m)$$

$$S_c = 1.1308e - 08$$

$$R_x = 1.07834e - 07(radians)$$

$$R_y = 9.49620e - 08(radians)$$

$$R_z = 9.37467e - 08(radians)$$

The above transformation parameters are only valid for the epoch 28/08/2008.



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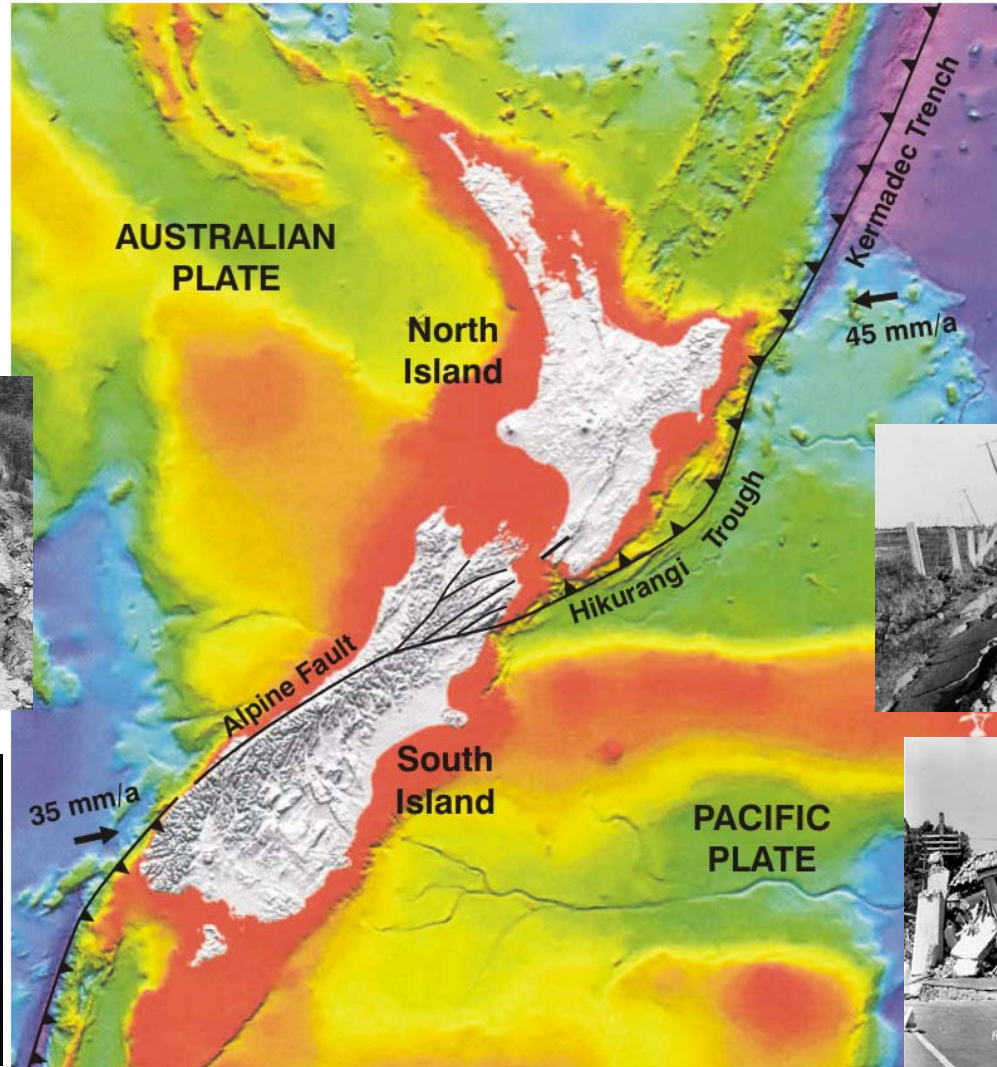
**After a long day
hopping across
dry parameter
space, a cold
beer is near.**

Thank you!

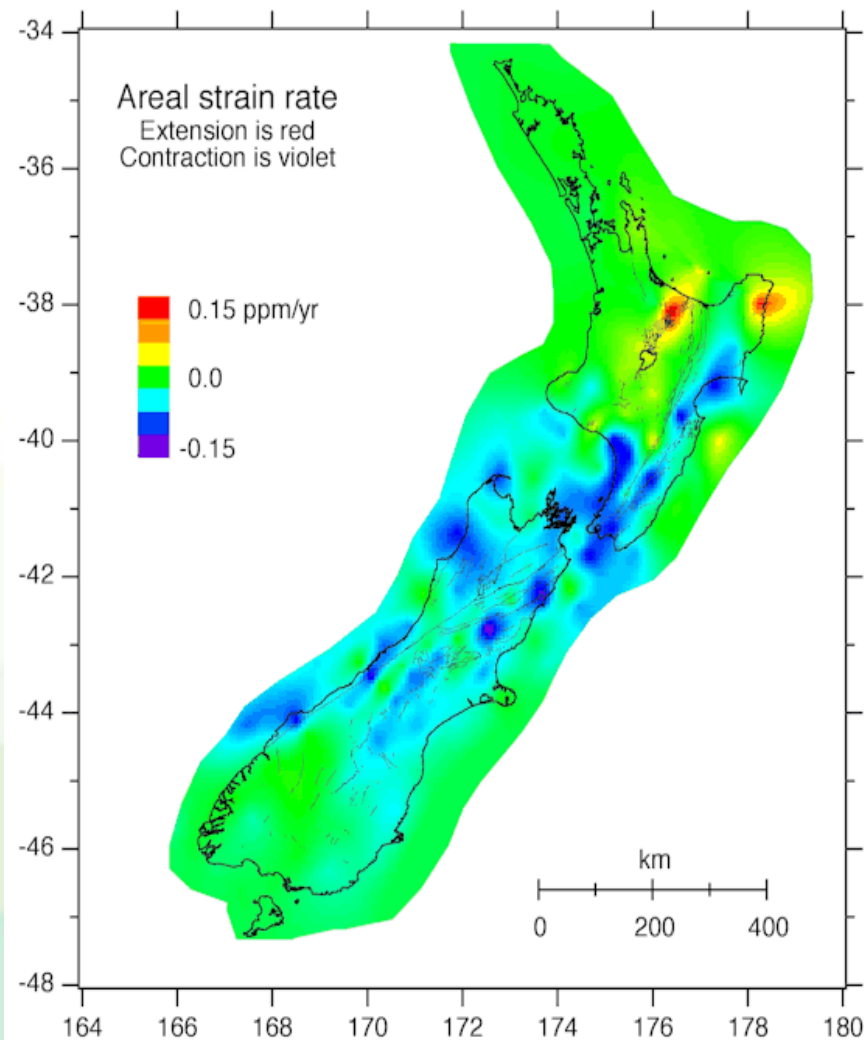
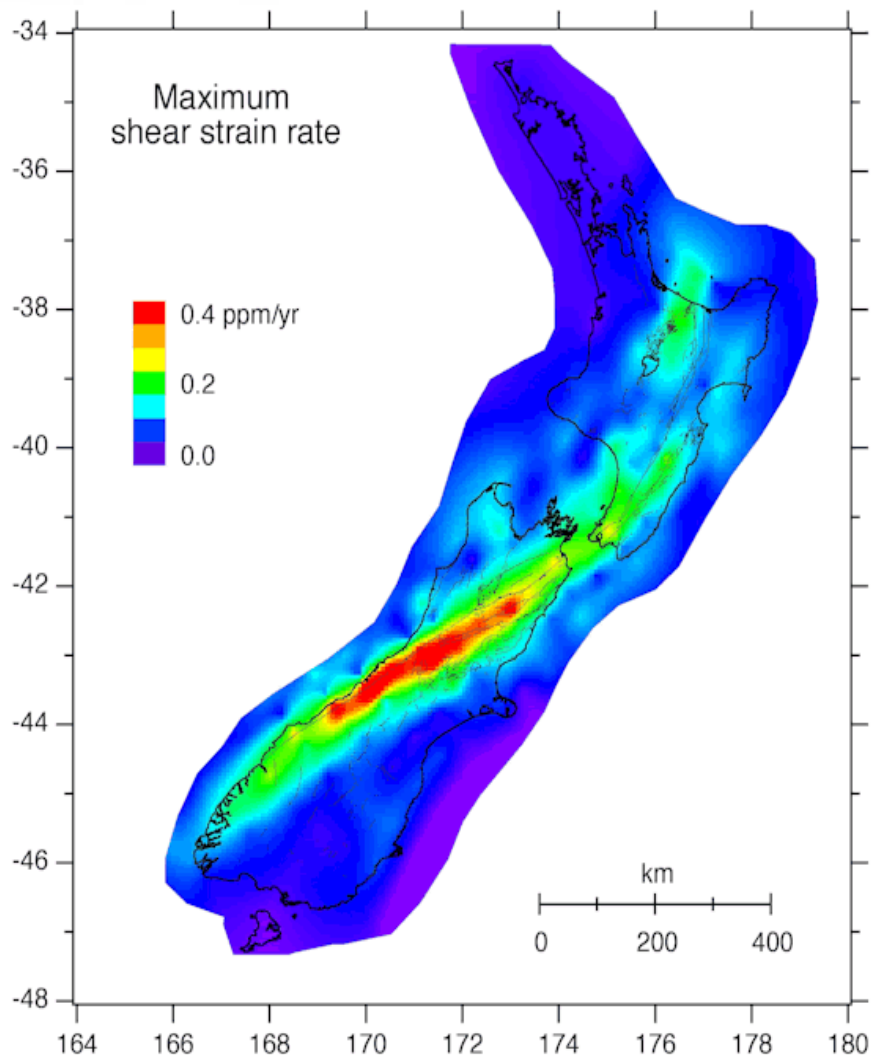
New Zealand Case Study



But we don't live on a stable planet



Measuring deformation - strain



Regional distortions up to 5m present

Built up in a piecemeal fashion

Incompatible with global systems

It is of limited spatial coverage

It is static

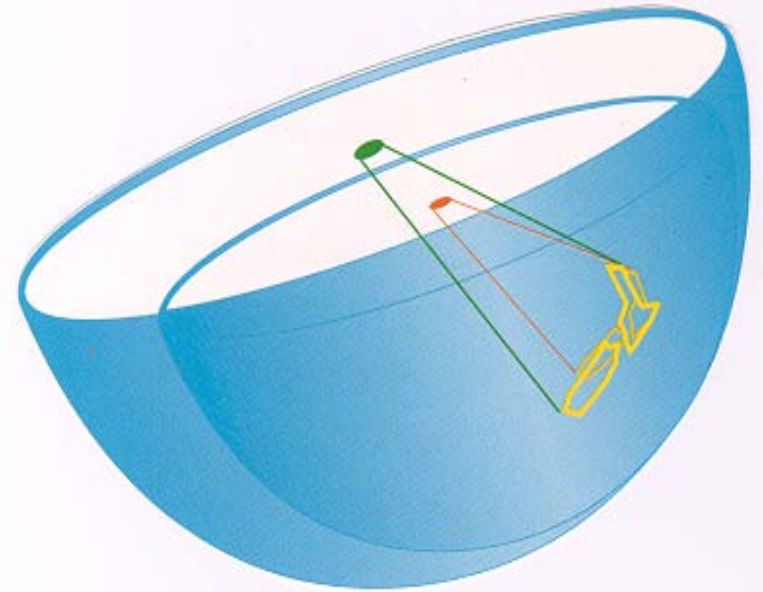


1998 – NZ introduced NZGD2000 (ref epoch 1 Jan 2000)

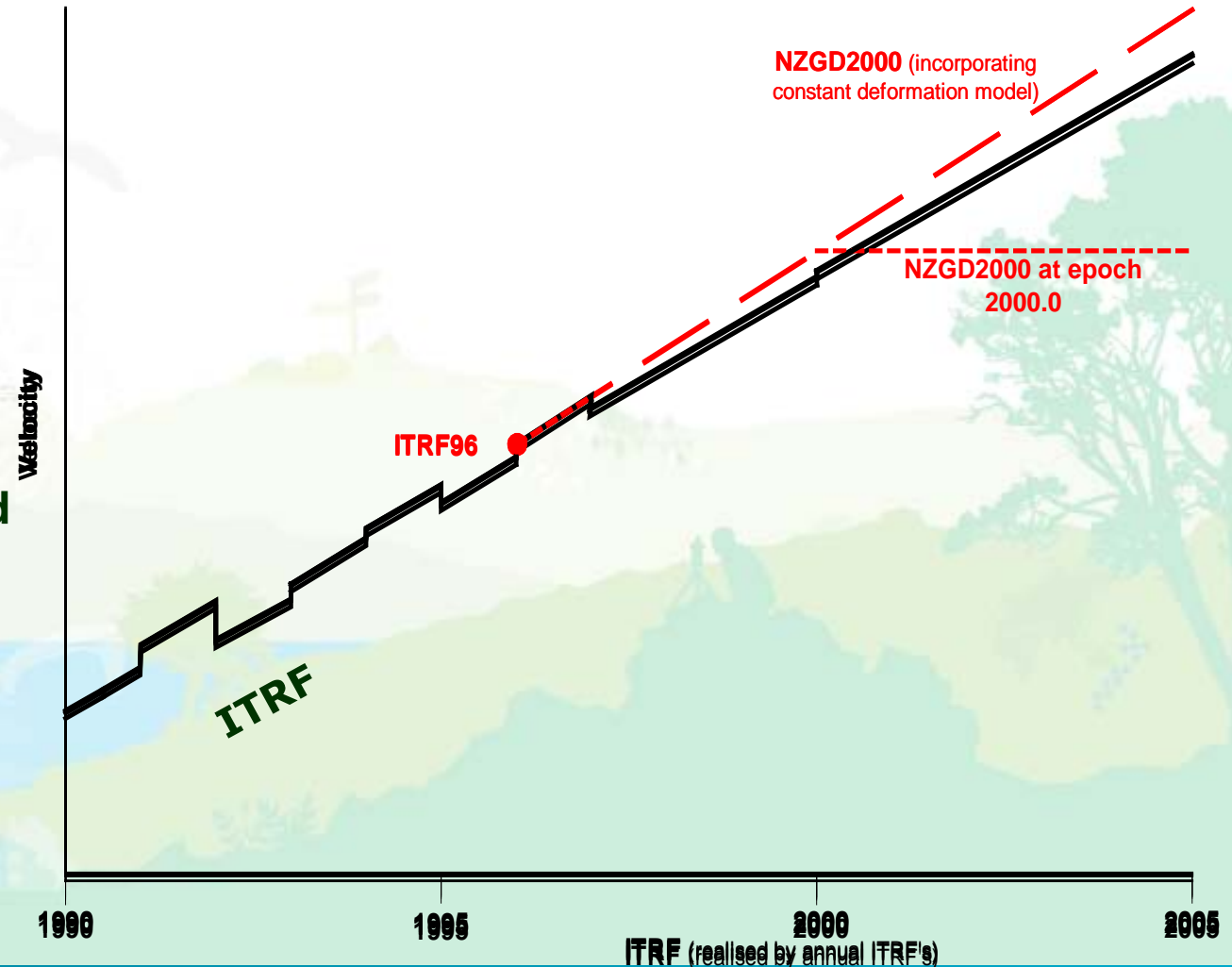
- geocentric origin
- aligned with the ITRS
- ITRF96 with epoch 2000.0 coordinates

NZGD2000 - semi-dynamic datum

- generalised motion of points
modelled using a deformation
model

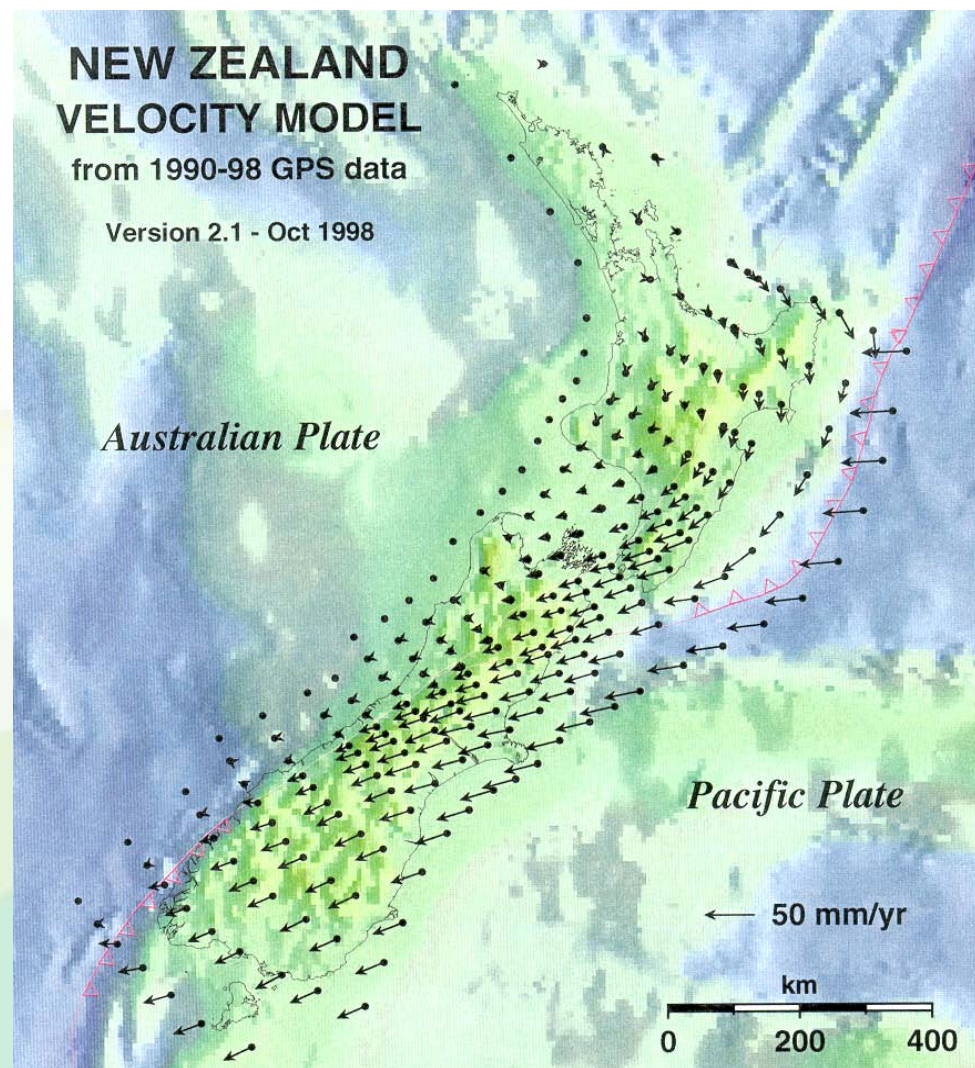


- Tied to ITRF96
- Generalised motion of points modelled using a constant velocity deformation model
- Epoch 2000 coordinates generated at 2000.0



Semi-dynamic datum

- current deformation model has horizontal constant velocities only
- generated using repeat surveys between 1992 and 1998
- enables propagation of coordinates and observations between reference epoch and observation epoch
- for many uses has the **appearance of a static datum**

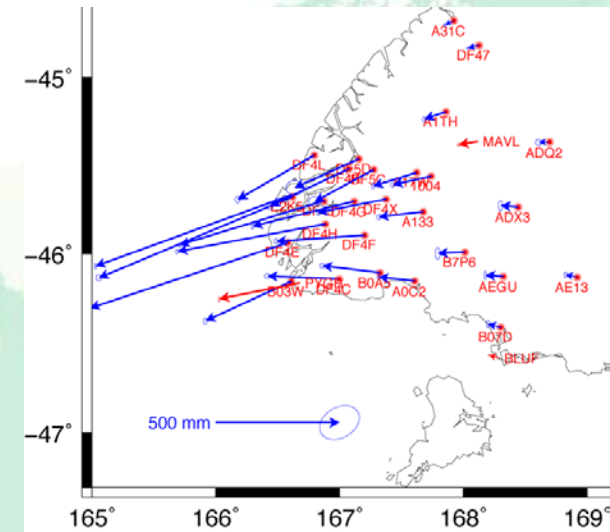


What has gone well

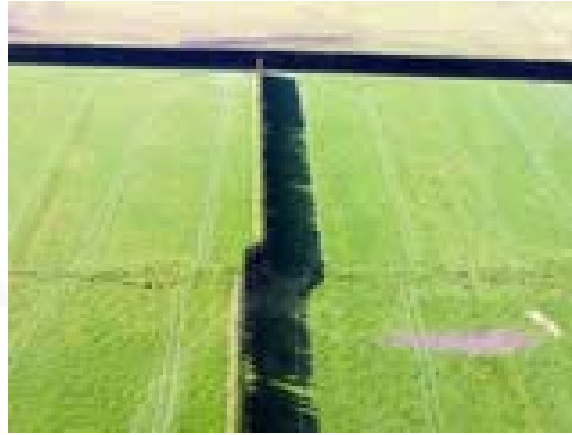
- User Acceptance
- Implementation of the Deformation Model in LINZ
- Maintaining the Accuracy of Datum

Issues

- Managing the Deformation Model
- Accuracy of Deformation Model Versus CORS Real Time positions
- Managing the Spatial Alignment of the Cadastral System
- Misalignment of Readjusted Historic Geodetic Control with new Surveyed Geodetic Control



- **Updating the Deformation Model**
- **Vertical Deformation Model**
- **CORS Real Time – Tools for Managing Coordinates**
- **Tie to the ITRF - Going Fully Dynamic?**





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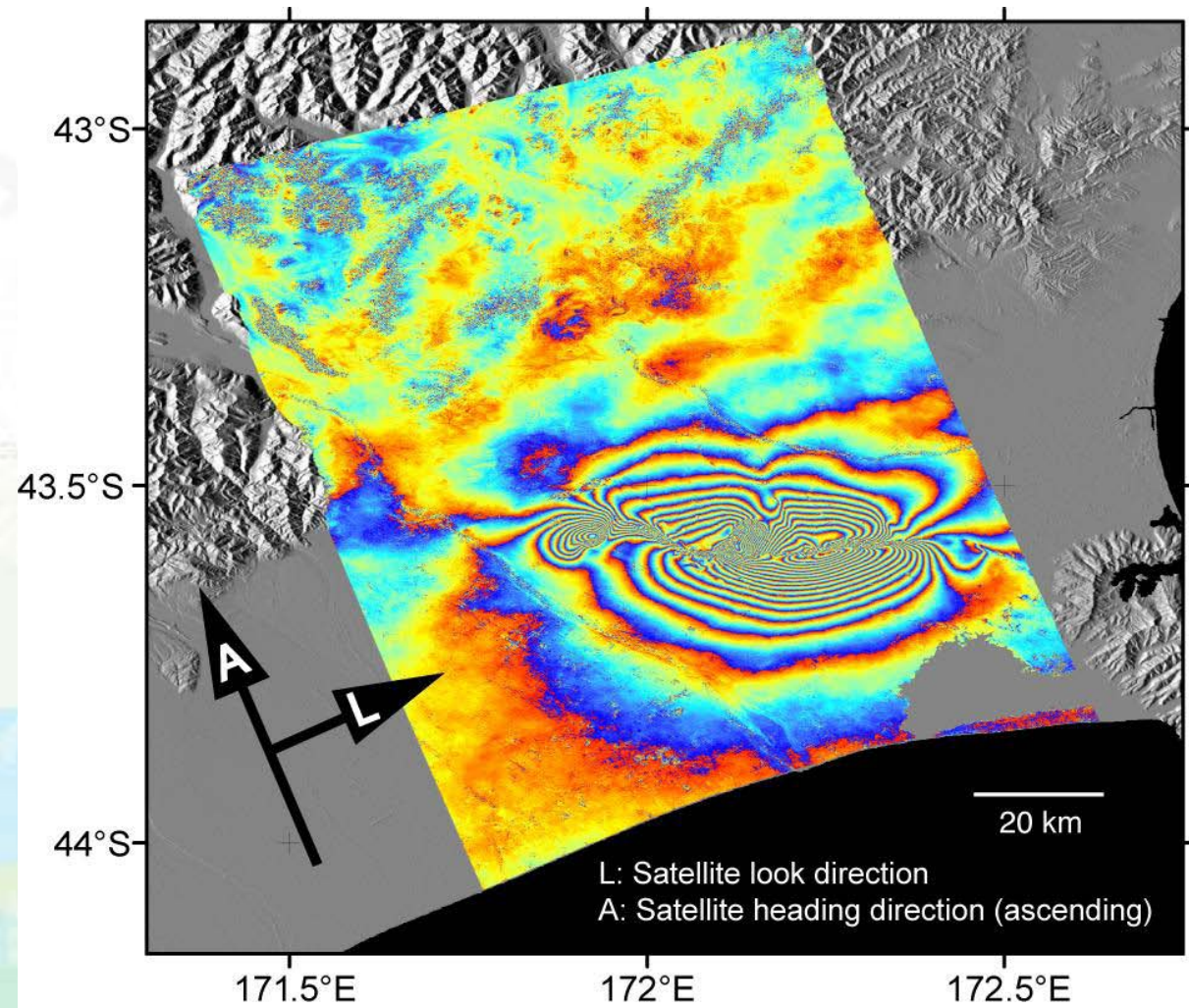
InSAR interference pattern as a result of the Darfield earthquake



**Land Information
New Zealand**
Toitū te whenua

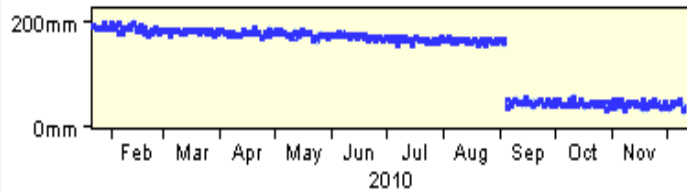
Each coloured fringe represents 1.5 cm of ground displacement in line-of-sight to the satellite

Incoherent regions indicate ground damage

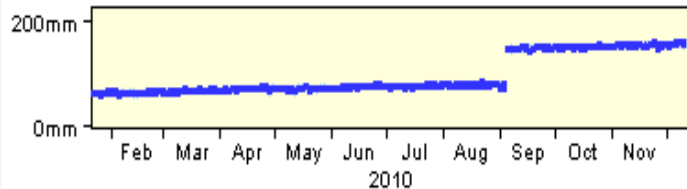


Creating a patch – Canterbury earthquakes

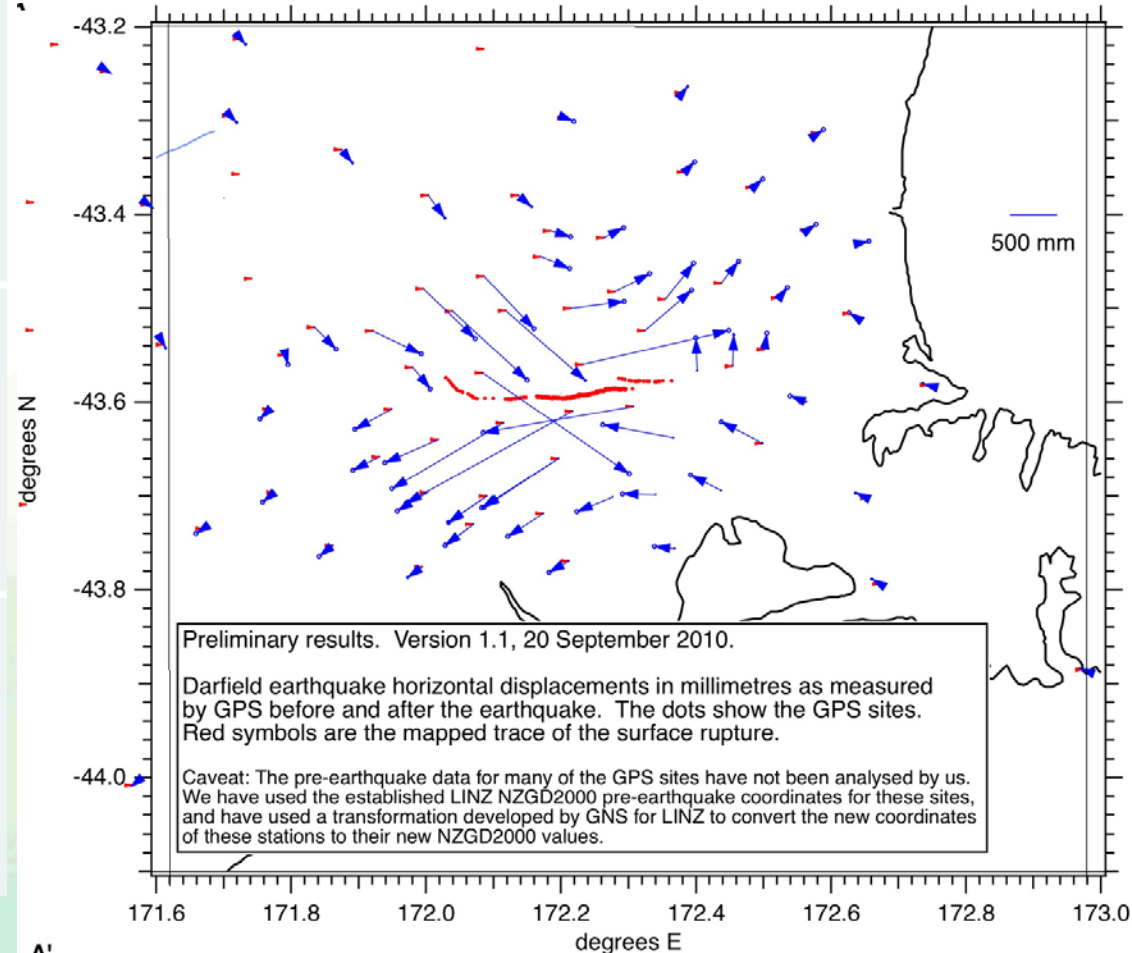
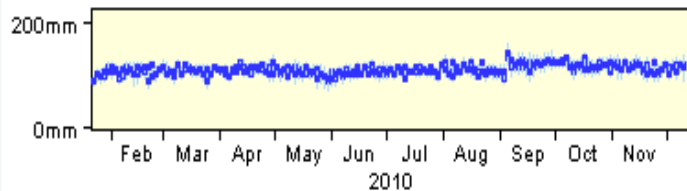
East



North



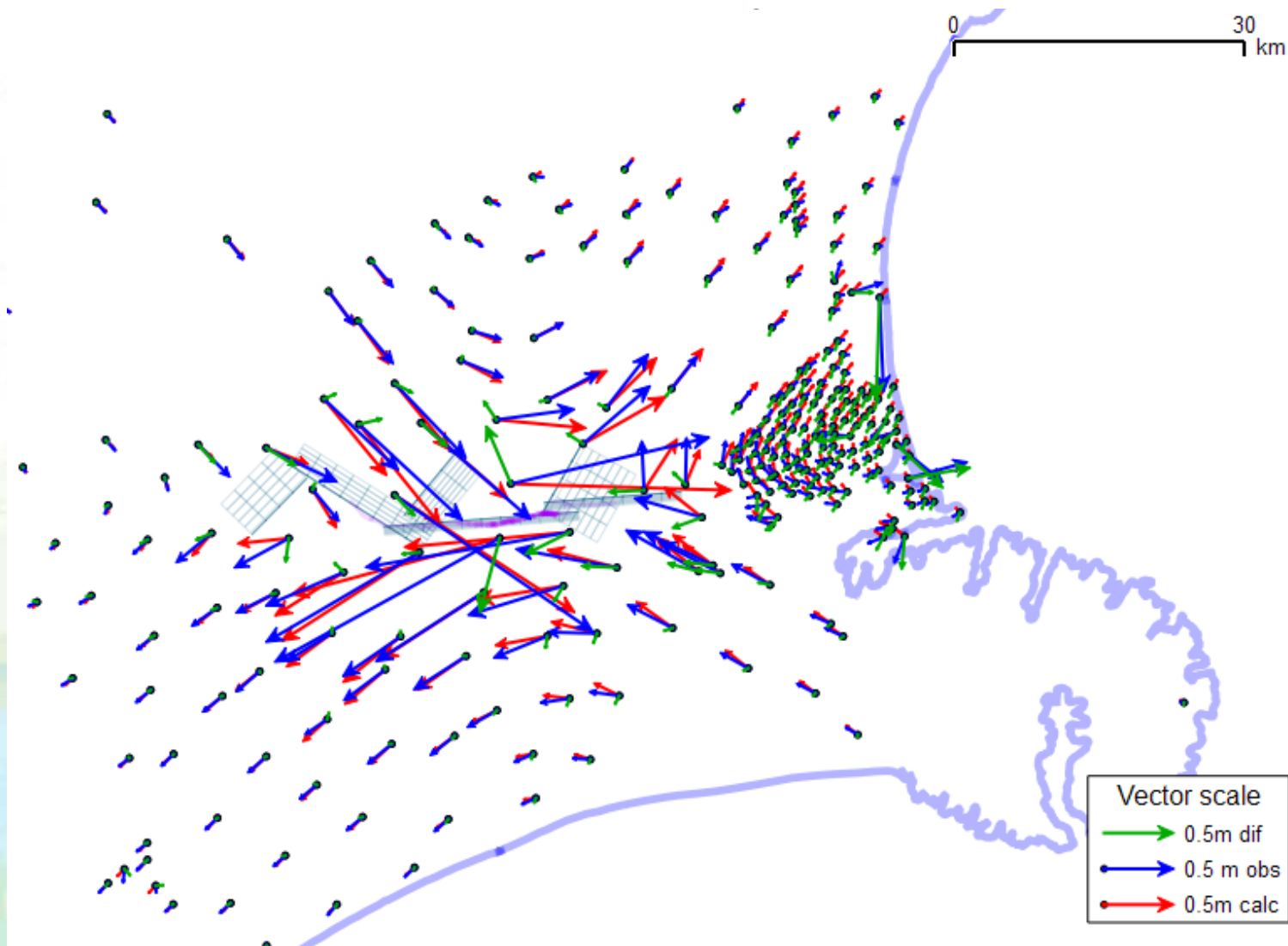
Up

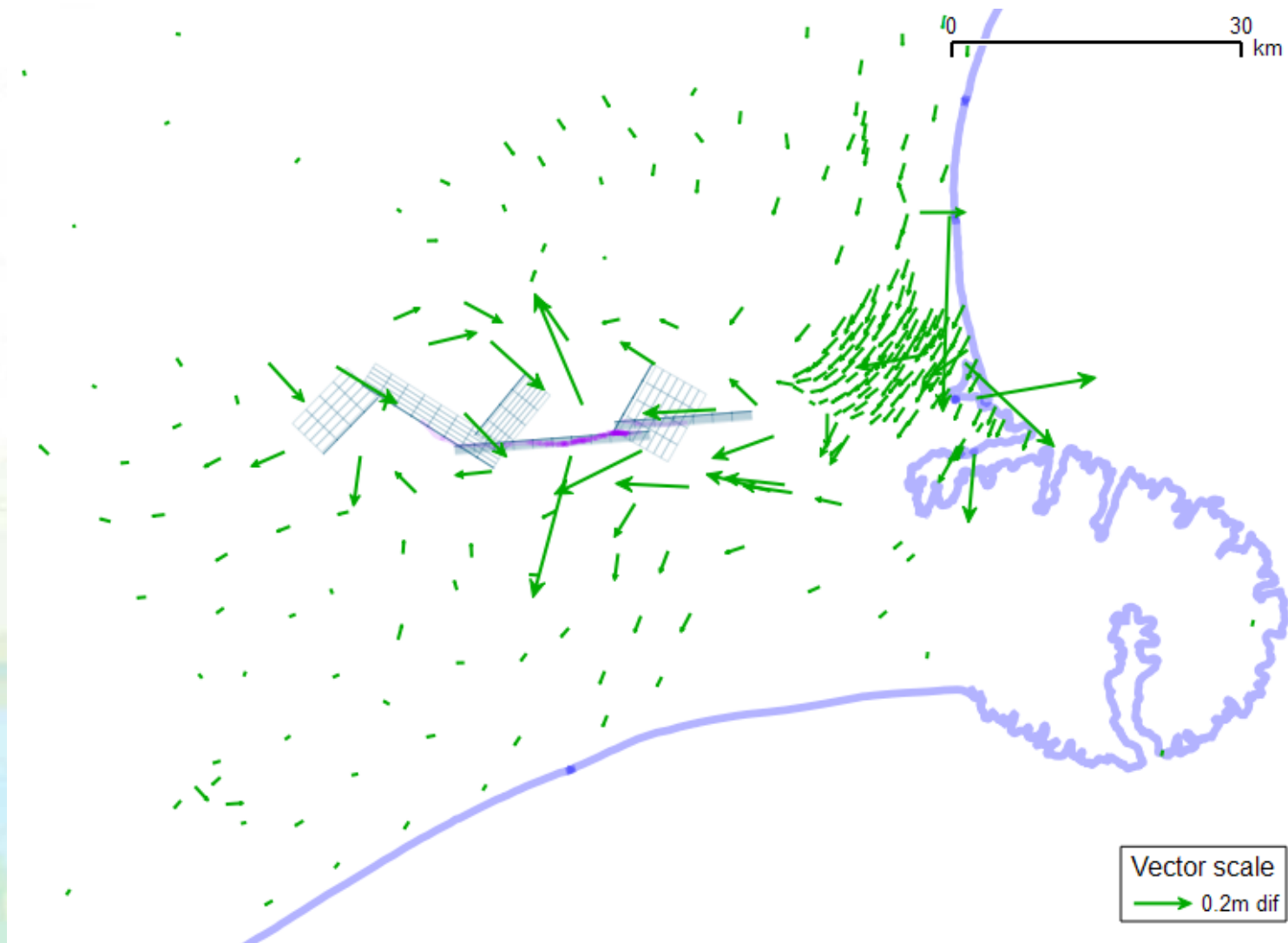


Range is based on the distance from the centre of the fault rupture.

Maximum Range (km)	Geodetic marks (order 5 or better)	Cadastral control (order 6 or better)	Total marks
0-20	223	4816	56835
20-40	1269	49538	565892
40-60	3176	28632	387606
60-80	673	3681	143593
80-100	487	2182	103995
Total	5828	88849	1257921

Model out the effects of the earthquake





Summary

